

1 The inner and outer solutions to the inertial flow 2 over a rolling circular cylinder

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7 This paper proposes a new approach for evaluating numerically the forces and moments
8 applied to a circular cylinder that is immersed in a fluid and which translates and rotates
9 near a plane wall. Under the proposed approach, the flow is decomposed into inner and
10 outer flows. The inner flow represents the flow in the thin interstice between the cylinder
11 and the wall, and is obtained as an analytic expression using lubrication theory. The
12 outer flow represents the flow far from the interstice, which does not depend on the
13 magnitude of the gap between the cylinder and the wall, when the gap is small. The
14 outer flow is obtained using numerical simulation as a function of both the Reynolds
15 number and the slip coefficient. The force and moment coefficients are then obtained, as
16 functions of the Reynolds number, slip coefficient and gap-to-diameter ratio, by combining
17 the inner and outer solutions. Importantly, since the outer flow does not depend on the
18 gap-to-diameter ratio, the parameter space to be explored by numerical simulations is
19 greatly reduced compared to using finite gap ratio simulations. Moreover, the numerical
20 difficulties associated with resolving the interstitial flow are avoided. The proposed
21 approach can be extended to a wide range of rolling bodies, including spherical particles
22 and wheels, and should significantly reduce the computational expense required to model
23 the hydrodynamic forces and predict the subsequent motion of such bodies.

24 **Key words:** flow-structure interactions, wakes, computational methods

25 1. Introduction

26 The problem of a particle or body that moves along or close to a surface is important for a
27 range of industrial and natural flows, such as particle technology and sediment transport.

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28 One issue of particular importance is to determine of the hydrodynamic drag force applied
29 to such a body, and hence predict the subsequent motion of the body.

30 For elementary particles with simplified geometry, such as a smooth sphere or cylinder
31 rolling or translating along a plane wall, the hydrodynamic forces depend strongly on the
32 magnitude of the gap between the particle and the wall (Goldman, Cox & Brenner 1967;
33 O'Neill & Stewartson 1967; Merlen & Frankiewicz 2011). In particular, the drag force
34 becomes infinite as the gap approaches zero, therefore a smooth sphere or cylinder would
35 be unable to move while in contact with a smooth wall. In order for the particle to travel
36 along the surface, a finite gap between the particle and the wall must be established, by
37 cavitation (Prokunin 2003; Ashmore, Del Pino & Mullin 2005), surface roughness (Smart,
38 Beimfohr & Leighton 1993; Galvin, Zhao & Davis 2001; Thompson, Leweke & Hourigan
39 2021; Houdroge *et al.* 2023) or compressibility (Terrington, Thompson & Hourigan 2022).

40 Once the hydrodynamic gap has been determined, the hydrodynamic forces and
41 moments can be evaluated to predict the resulting motion of the body. For the rolling
42 sphere, Ashmore *et al.* (2005) and Kozlov, Prokunin & Slavin (2007) predict the effective
43 gap induced by cavitation, while Smart *et al.* (1993), Galvin *et al.* (2001) and Zhao, Galvin
44 & Davis (2002) assume an average gap introduced by a sparse distribution of surface
45 asperities on either the sphere or the wall. Assuming that inertial effects are negligible,
46 these authors then use the Goldman *et al.* (1967) formulae for the drag and moment applied
47 to a sphere in a Stokes flow to predict the motion of the sphere.

48 For slow-moving particles, the Stokes approximation can be used to predict the forces
49 and moments applied to the rolling body, and in such cases, explicit expressions for the
50 hydrodynamic forces and moments can be obtained. Dean & O'Neill (1963) and O'Neill
51 (1964) use a bispherical coordinate transformation to obtain the forces and moments
52 applied to spheres that either rotate or translate along a plane wall. However, their series
53 solution suffers from poor numerical convergence when the gap between the sphere and the
54 wall is small. For small gaps, asymptotic expressions for the forces and moments have been
55 determined by Goldman *et al.* (1967), O'Neill & Stewartson (1967) and Cooley & O'Neill
56 (1968), using the method of matched asymptotic expansions. Similarly, solutions for the
57 Stokes flow over the rolling cylinder were obtained using bipolar coordinates by Jeffery
58 (1922), Wakiya (1975) and Jeffrey & Onishi (1981), while the asymptotic solution for
59 small gaps was obtained using the method of matched asymptotic expansions by Merlen
60 & Frankiewicz (2011).

61 For moderate and high Reynolds number flows, however, numerical simulations are
62 required to predict the hydrodynamic forces and moments applied to the rolling body.
63 Numerical simulations of the flow over a translating or rolling cylinder have been presented
64 by Stewart *et al.* (2006, 2010b), Rao *et al.* (2011) and Houdroge *et al.* (2017, 2020), while
65 numerical simulations of the flow over a rolling sphere are presented by Zeng *et al.* (2009),
66 Stewart *et al.* (2010a) and Houdroge *et al.* (2016, 2023).

67 The forces and moments applied to a given body (either a cylinder or a sphere) depend
68 on three parameters: the gap–diameter ratio G/d , the Reynolds number $Re = Ud/\nu$, and
69 the slip coefficient $k = \Omega d/(2U)$, where d is the diameter of the body, U and Ω are the
70 linear and angular velocities, respectively, G is the gap between the body and the wall, and
71 ν is the kinematic viscosity of the fluid. Existing numerical studies have not considered
72 the entirety of this parameter space. Stewart *et al.* (2006, 2010a,b), Rao *et al.* (2011) and
73 Houdroge *et al.* (2017) consider only a single gap ratio, noting that the flow far from the
74 gap is approximately independent of the gap ratio. While the gap ratio effect is considered
75 by Houdroge *et al.* (2020, 2023), these studies are restricted to cylinders and spheres that
76 roll without slipping ($k = 1$). Slip has been observed experimentally, for both spheres

77 (Smart *et al.* 1993; Yang *et al.* 2006) and cylinders (Seddon & Mullin 2006), for certain
78 ranges of the governing parameters, therefore a complete dynamical model for the motion
79 of the particle requires the dependence of the force and moment coefficients against all
80 three parameters: G/d , k and Re . To cover this entire parameter space directly requires
81 significant computational expense.

82 The small gap ratios that occur in many experiments pose further difficulty in simulating
83 numerically flow over a rolling body. As the gap ratio is reduced, a progressively
84 finer numerical mesh is needed to capture adequately the interstitial flow, therefore
85 numerical simulations become impractical for a sufficiently small gap ratio. For example,
86 Houdroge *et al.* (2023) perform simulations of the rolling sphere to a minimum gap ratio
87 2×10^{-4} , which is substantially larger than the gap ratios of order 10^{-6} required to match
88 their experimental measurements. Therefore, numerical simulation of the entire flow,
89 including both the outer flow and the interstitial flow, is impractical for many experimental
90 conditions.

91 To avoid these numerical difficulties, the present paper applies the method of matched
92 asymptotic expansions, which has been used to solve the Stokes flow over rolling bodies
93 (Goldman *et al.* 1967; O'Neill & Stewartson 1967; Merlen & Frankiewicz 2011), to the
94 inertial flow over a rolling body. Under this approach, the flow is separated conceptually
95 into inner and outer domains. The inner flow describes the flow in the narrow interstice
96 between the rolling body and the wall, and is given by an analytical solution obtained
97 using lubrication theory. The outer flow is the flow far from the interstice, which is
98 independent of G/d . Since an analytical solution is obtained for the inner flow, numerical
99 simulations are performed only for the outer flow, thereby avoiding the numerical
100 difficulties associated with a small gap ratio. Moreover, since the outer flow depends only
101 weakly on G/d , the parameter space that must be covered by numerical simulations is
102 reduced to only two variables, Re and k , significantly reducing the computational work
103 required to model the dynamics of the particle.

104 In the present work, this framework is applied to the two-dimensional flow over an
105 infinite circular cylinder translating and rolling near a plane wall. The solution for the outer
106 flow is obtained numerically as a function of Re and k . By combining the outer solution
107 with the lubrication solution for the inner flow, the total force and moment coefficients are
108 evaluated as functions of the three parameters G/d , Re and k . We introduce the wake force
109 and moment coefficients – defined as the difference in the force and moment coefficients
110 between inertial and Stokes flow – to characterise the effects of inertia on the forces and
111 moments applied to the cylinder. The wake drag and moment coefficients are found to be
112 insensitive to G/d , and can therefore be determined directly from the outer-flow solution.
113 The wake lift coefficient decreases linearly with $\sqrt{G/d}$, and an upper limit for the wake
114 lift coefficient can be determined directly from the outer solution.

115 While the present paper considers only the two-dimensional flow over a circular
116 cylinder, we anticipate that the approach used can be applied to other rolling body flows,
117 such as rolling spheres or finite cylinders (wheels). For example, Goldman *et al.* (1967),
118 O'Neill & Stewartson (1967) and Cooley & O'Neill (1968) decompose the Stokes flow
119 over a sphere near a wall into inner and outer solutions. Therefore, a similar decomposition
120 likely exists for inertial flows, and the method proposed in this paper should allow for
121 efficient numerical computation of the forces and moments applied to the sphere.

122 For the rolling sphere, many relevant physical effects, such as cavitation (Prokunin
123 2003), compressibility and surface roughness (Smart *et al.* 1993), are relevant only in
124 the inner region (Terrington *et al.* 2022), and one might expect the same to be true of
125 the rolling cylinder flow. Assuming that this is the case, the present study separates these

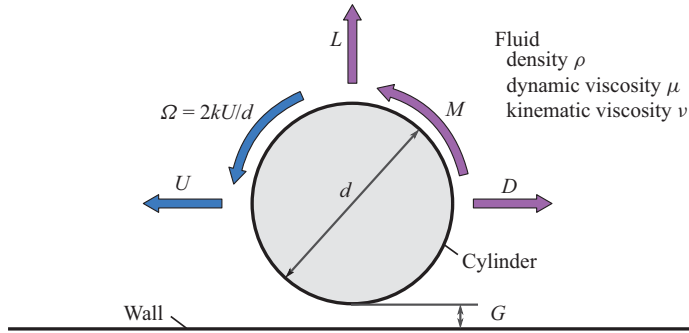


Figure 1. Problem considered in this work. A cylinder of diameter d travels along a plane wall with translational and angular velocities U and Ω , respectively, while maintain a gap G between the cylinder and the wall. The hydrodynamic lift, drag and moment are given by L , D and M , respectively. Finally, k is the slip coefficient.

126 effects from those of inertia, which are significant only in the outer region. For example,
 127 this would allow the forces and moments applied to a cylinder in an inertial and cavitating
 128 flow to be determined by combining the inertial, but non-cavitating, outer solution, with a
 129 cavitating, but non-inertial, inner solution.

130 The structure of this paper is as follows. First, in § 2, we present the theoretical analysis
 131 that justifies the decomposition into inner and outer solutions. Next, in § 3, we discuss the
 132 numerical approach used to obtain the outer-flow solution. Finally, the force and moment
 133 coefficients are computed using the inner and outer solutions, in § 4. Concluding remarks
 134 are made in § 5.

135 2. Inner and outer solutions for the rolling cylinder

136 Merlen & Frankiewicz (2011) compute the forces and moments applied to a rolling circular
 137 cylinder in a Stokes flow by using the method of matched asymptotic expansions, where
 138 the flow is decomposed conceptually into inner and outer flows. This section extends their
 139 analysis to inertial flows. The structure of this section is as follows. First, in § 2.1, we
 140 present the geometry and problem description. Next, in § 2.2, we discuss the computation
 141 of the outer flow. Then, in § 2.3, we review the lubrication solution for the inner flow.
 142 Finally, in § 2.4, we show that the inner and outer solutions are matched asymptotically
 143 when G/d is small.

144 2.1. Problem description

145 As shown in figure 1, we consider the flow over a circular cylinder of diameter d , which
 146 travels along a plane wall with linear velocity U and angular velocity Ω . Due to surface
 147 roughness, cavitation or compressibility, the cylinder is separated from the wall by an
 148 effective hydrodynamic gap G . The density of the fluid is denoted by ρ , while the dynamic
 149 and kinematic viscosities are denoted by μ and ν , respectively. The fluid exerts a drag
 150 force D , lift force L and moment M on the cylinder.

151 Three dimensionless parameters are required to characterise the flow: the Reynolds
 152 number $Re = Ud/\nu$, the slip coefficient $k = \Omega d/2U$, and the gap-to-diameter ratio G/d .
 153 This study aims to determine the functional dependence of the force and moment

Forces and moments on a rolling cylinder

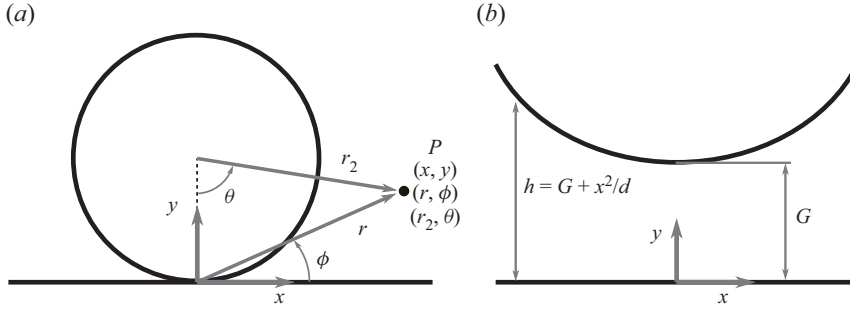


Figure 2. Geometry and coordinate systems for (a) the outer flow, and (b) the inner flow.

154 coefficients

$$155 \quad C_L = L / \left(\frac{1}{2} d \rho U^2 \right), \quad (2.1)$$

$$156 \quad C_D = D / \left(\frac{1}{2} d \rho U^2 \right), \quad (2.2)$$

$$157 \quad C_M = M / \left(\frac{1}{4} d^2 \rho U^2 \right), \quad (2.3)$$

158 against Re , k and G/d . As indicated previously, this is achieved by separating the flow into
 159 inner and outer regions. The outer flow depends only on Re and k , while the inner flow is
 160 determined analytically using lubrication theory.

161

2.2. Outer flow

162 When G/d is small, the flow far from the interstice is approximately independent of the
 163 gap ratio (Houdroge *et al.* 2020). This suggests that a gap-ratio-independent outer flow can
 164 be obtained by assuming $G/d = 0$, as is done by Merlen & Frankiewicz (2011) for Stokes
 165 flow.

166 The geometry and coordinate systems for the outer flow are presented in figure 2(a).
 167 The outer flow is made non-dimensional by the cylinder diameter, translational velocity
 168 and fluid density, so that in non-dimensional units, the cylinder has diameter 1, linear
 169 velocity 1 and angular velocity k . Three different coordinate systems are used for the outer
 170 flow: a Cartesian coordinate system (x, y) centred at the contact point, polar coordinates
 171 (r, ϕ) also centred at the contact point, and a second polar coordinate system (r_2, θ) with
 172 its origin at the centre of the cylinder.

173 We assume that flow is governed by the incompressible continuity and Navier–Stokes
 174 equations, which are expressed in non-dimensional form as

$$175 \quad \nabla \cdot \mathbf{u} = 0, \quad (2.4)$$

$$176 \quad \frac{\partial \mathbf{u}}{\partial t} + \mathbf{u} \cdot \nabla \mathbf{u} = -\nabla p + \frac{1}{Re} \nabla^2 \mathbf{u}, \quad (2.5)$$

177 where $\mathbf{u} = \mathbf{u}^*/U$ is the dimensionless velocity, and $p = (p^* - p_\infty^*)/\rho U^2$ is the
 178 dimensionless pressure. Here, asterisks (*) denote dimensional quantities, and p_∞^* is the
 179 free-stream pressure.

180 The boundary conditions for (2.4) and (2.5) are as follows: we assume that there is no
 181 slip between the fluid and the cylinder ($u_x = k \cos \theta$ and $u_y = k \sin \theta$ on the cylinder), as

182 well as between the fluid and the lower wall ($u_x = 1$ and $u_y = 0$ on the wall). Finally, the
 183 free-stream conditions far from the cylinder are $u_x = 1$, $u_y = 0$ and $p = 0$.

184 Merlen & Frankiewicz (2011) consider the solution to the outer flow under the
 185 Stokes flow approximation ($Re = 0$), and for steady flow ($\partial \mathbf{u} / \partial t = 0$). Under these
 186 approximations, (2.5) reduces to

$$187 \quad \nabla p_2 = \nabla^2 \mathbf{u}, \quad (2.6)$$

188 where $p_2 = (p^* - p_\infty^*) / (\mu U / d)$ is a non-dimensional pressure defined for Stokes flow,
 189 which is related to the non-dimensionalisation for inertial flows as $p_2 = \lim_{Re \rightarrow 0} (Re p)$.
 190 Using the (r, ϕ, z) coordinates, the analytic solution to this problem is (Merlen &
 191 Frankiewicz 2011)

$$192 \quad u_r = \cos \phi \left[1 - \frac{2(2+k)}{\xi} + \frac{3(k+1)}{\xi^2} \right], \quad (2.7)$$

$$193 \quad u_\phi = \sin \phi \left[1 - \frac{k+1}{\xi^2} \right], \quad (2.8)$$

$$194 \quad p = \frac{1}{Re} \cos \phi \left[\frac{8(k+1)}{r\xi^2} - \frac{4(k+2)}{r\xi} - \frac{2(k+1)}{r^3} \right], \quad (2.9)$$

195 where $\xi = r / \sin \phi$. To allow for comparisons between the inertial and Stokes flow
 196 solutions at finite Re , the pressure in (2.9) is expressed in the non-dimensional form
 197 corresponding to inertial flow. While this results in an infinite pressure p at $Re = 0$, the
 198 corresponding Stokes flow pressure $p_2 = \lim_{Re \rightarrow 0} (Re p)$ remains finite.

199 On the surface of the cylinder ($\xi = 1$), the pressure distribution is given by (Merlen &
 200 Frankiewicz 2011)

$$201 \quad p = \frac{2}{Re} \frac{\cos \phi}{\sin^3 \phi} [2k \sin^2 \phi - (k+1)], \quad (2.10)$$

202 while the wall shear stress distribution on the cylinder is

$$203 \quad \tau_x = \frac{\tau_x^*}{\rho U^2} = -\frac{1}{Re} \frac{2(2k+1) \cos(2\phi)}{\sin^2 \phi}, \quad (2.11)$$

$$204 \quad \tau_y = \frac{\tau_y^*}{\rho U^2} = -\frac{1}{Re} \frac{2(2k+1) \sin(2\phi)}{\sin^2 \phi}, \quad (2.12)$$

205 which are also non-dimensionalised according to the inertial flow variables. Importantly,
 206 both the pressure and wall stress distributions are singular at the contact point ($\phi = 0$), so
 207 that the drag and moment applied to the cylinder are infinite when $G/d = 0$ (Merlen &
 208 Frankiewicz 2011). For finite gap ratios, however, the outer-flow solution is invalid near
 209 the contact point. Lubrication theory is used to obtain the inner-flow solution, which is
 210 matched asymptotically to the outer-flow solution (Merlen & Frankiewicz 2011), and the
 211 resulting drag and moment are finite.

212 Equations (2.7)–(2.12) are valid for Stokes flow, and do not apply when Re is non-zero.
 213 Instead, the solution to (2.4) and (2.5) must be obtained numerically. However, the inertial
 214 solution should approach the Stokes flow solution near the contact point ($\phi = 0$). The
 215 characteristic length scale associated with the flow near the contact point is the film

216 thickness

$$217 \quad h^* = \frac{d}{2} \left(1 - \cos \left(\frac{\phi}{2} \right) \right) \approx \frac{1}{16} d \phi^2. \quad (2.13)$$

218 The corresponding film thickness Reynolds number,

$$219 \quad Re_h \approx \frac{1}{16} U d \phi^2 / \nu \approx \frac{\phi^2}{16} Re, \quad (2.14)$$

220 approaches zero as $\phi \rightarrow 0$, therefore the solution to the finite Re outer flow is expected to
 221 approach the Stokes flow solution (2.7)–(2.9) as the contact point is approached. This is
 222 validated using numerical simulations in § 3.

223 2.3. Inner flow

224 We now turn our attention to the lubrication flow in the narrow gap between the cylinder
 225 and the wall. The geometry for the inner flow is shown in figure 2(b). Assuming that G/d
 226 is small, the cylinder can be approximated by a parabolic shape, so that the film thickness
 227 h is given by

$$228 \quad h^* = G + \frac{x^{*2}}{d}. \quad (2.15)$$

229 Additionally, the velocity of the lower wall is approximated by $U_1 = U$, and the velocity
 230 of the upper wall (cylinder) is approximated as $U_2 = kU$.

231 Since the film thickness is small, the standard assumptions of lubrication theory apply
 232 (Ghosh, Majumdar & Sarangi 2014): flow is laminar; inertial effects are negligible;
 233 pressure gradients across the film thickness are negligible; and velocity gradients along
 234 the film are negligible compared to velocity gradients across the film thickness. We also
 235 assume that the interstitial flow is two-dimensional, so that there are no velocity or pressure
 236 gradients in the z -direction, and the inner flow is steady in time.

237 Under these assumptions, the streamwise velocity profile is given by

$$238 \quad u_x^*(x, y) = \frac{1}{2\mu} \frac{\partial p^*}{\partial x^*} (y^{*2} - y^* h^*) + \left(1 - \frac{y^*}{h^*} \right) U + k \frac{y^*}{h^*} U, \quad (2.16)$$

239 which gives a volume flow rate

$$240 \quad q^*(x) = \int_0^h u_x^*(x, y) dy^* = -\frac{h^{*3}}{12\mu} \frac{\partial p^*}{\partial x^*} + \frac{1}{2} (1 + k) U h^*. \quad (2.17)$$

241 The interstitial pressure distribution is obtained by solving the Reynolds equation,

$$242 \quad \frac{\partial q^*}{\partial x^*} = 0. \quad (2.18)$$

243 For the present case, this equation is written as

$$244 \quad \frac{\partial}{\partial x^*} \left[\frac{h^{*3}}{12\mu} \frac{\partial p^*}{\partial x} \right] = \frac{1}{2} (1 + k) U \frac{\partial h^*}{\partial x^*}. \quad (2.19)$$

245 For the inner flow, we introduce a new set of non-dimensional parameters:

$$246 \quad \hat{x} = x^*/\sqrt{Gd}, \quad (2.20a)$$

$$247 \quad H = h^*/G = 1 + \hat{x}^2, \quad (2.20b)$$

$$248 \quad \hat{p}(\hat{x}) = (p^*(x) - p_\infty^*) / \left(\frac{2\mu(1+k)U}{d(G/d)^{3/2}} \right). \quad (2.20c)$$

249 Note that the non-dimensional position \hat{x} and pressure \hat{p} in the inner region differ from
 250 the corresponding non-dimensional forms x and p used in the outer flow. Using this
 251 non-dimensionalisation, (2.19) becomes

$$252 \quad \frac{\partial}{\partial \hat{x}} \left[H^3 \frac{\partial \hat{p}}{\partial \hat{x}} \right] = 3 \frac{\partial H}{\partial \hat{x}}, \quad (2.21)$$

253 and using the boundary conditions $\hat{p}(\infty) = \hat{p}(-\infty) = 0$, the solution of (2.21) is

$$254 \quad \hat{p} = \frac{-\hat{x}}{(1 + \hat{x}^2)^2}, \quad (2.22)$$

255 in agreement with Merlen & Frankiewicz (2011). When non-dimensionalised by outer flow
 256 variables, the pressure is written as

$$257 \quad p = \frac{p^* - p_\infty^*}{\rho U^2} = \frac{-2(1+k)}{Re(G/d)^{3/2}} \frac{\hat{x}}{(1 + \hat{x}^2)^2}. \quad (2.23)$$

258 Finally, the wall shear stress on the cylinder is given by

$$259 \quad \tau_x^* = -\mu \left. \frac{\partial u_x^*}{\partial y} \right|_{y=h} = -\frac{h}{2} \frac{\partial p^*}{\partial x} + \frac{\mu(1-k)U}{h^*}, \quad (2.24)$$

260 which is written in non-dimensional form, using outer-flow variables, as

$$261 \quad \tau_x = \frac{\tau_x^*}{\rho U^2} = \frac{1}{Re(G/d)} \left[(2k+1) \frac{-2\hat{x}^2}{(1 + \hat{x}^2)^2} + \frac{2}{(1 + \hat{x}^2)^2} \right]. \quad (2.25)$$

262 2.4. Asymptotic matching of the inner and outer flows

263 In order for the decomposition into inner and outer solutions to be valid, the inner and
 264 outer solutions must be asymptotically matched. This requires there to be an overlap
 265 region where both the inner and outer solutions are in agreement. In this subsection, we
 266 demonstrate that the Stokes flow solution to the outer flow is matched asymptotically to
 267 the inner lubrication solution. Since the inertial solution to the outer flow is expected to
 268 approach the Stokes flow solution near the contact point ($\phi = 0$), we expect the inner and
 269 outer flow solutions to also be matched for inertial flows. This assumption is validated
 270 using numerical simulations in § 3.

271 We first estimate the domains where the inner and outer solutions are valid. Consider
 272 terms of up to fourth order in the Maclaurin series expansion for the film thickness near
 273 the interstice:

$$274 \quad h^* = G + \frac{x^{*2}}{d} + \frac{x^{*4}}{d^3} + \dots \quad (2.26)$$

275 In computing the outer solution, we assume $G = 0$, which is valid when $|x^*| \gg \sqrt{Gd}$.
 276 The inner solution was evaluated assuming a parabolic profile, which requires $x^{*2} \ll d^2$.

277 Therefore, the inner and outer solutions can be simultaneously valid only in the region

$$278 \quad 1 \ll |\hat{x}| \ll \frac{1}{\sqrt{G/d}}. \quad (2.27)$$

279 The asymptotic matching region, if it exists, must be located in the domain given by
 280 (2.27). Note that the inequality in (2.27) cannot be satisfied for $G/d \gtrsim 10^{-2}$, therefore
 281 the decomposition into inner and outer solutions will not be valid for gap ratios above this
 282 value.

283 We now show that the pressure distributions on the surface of the cylinder from the inner
 284 and outer solutions are matched asymptotically. Since, on the surface of the cylinder, we
 285 have

$$286 \quad x = x^*/d = \sin \phi \cos \phi, \quad (2.28a)$$

$$287 \quad y = y^*/d = \sin^2 \phi, \quad (2.28b)$$

288 the pressure distribution for the outer solution (2.10) becomes

$$289 \quad p_{outer} = \frac{2}{Re} \left[2k \frac{x}{y} - (k+1) \frac{x}{y^2} \right]. \quad (2.29)$$

290 Since $y \approx (G/d)\hat{x}^2$ and $x \approx (G/d)^{1/2}\hat{x}$ in the matching region, this becomes

$$291 \quad p_{outer} \approx -\frac{2(k+1)}{Re (G/d)^{3/2}} \frac{1}{\hat{x}^3} + \frac{4k}{Re (G/d)^{1/2}} \frac{1}{\hat{x}}, \quad (2.30)$$

292 and since $\hat{x} \gg 1$, for $k \neq -1$, this reduces to

$$293 \quad p_{outer} \approx -\frac{2(k+1)}{Re (G/d)^{3/2}} \frac{1}{\hat{x}^3}. \quad (2.31)$$

294 Similarly, when $\hat{x} \gg 1$, the inner pressure distribution (2.23) becomes

$$295 \quad p_{inner} \approx -\frac{2(1+k)}{Re (G/d)^{3/2}} \frac{1}{\hat{x}^3}. \quad (2.32)$$

296 Equations (2.31) and (2.32) are equal, therefore the inner and outer pressure distributions
 297 are matched asymptotically.

298 Asymptotic matching between the pressure profiles for the inner and outer solutions is
 299 shown in figure 3. Figure 3(a) shows the pressure profiles for both the inner and outer
 300 solutions, normalised in inner variables. The asymptotic solution given by (2.31) and
 301 (2.32) is also shown. The inner solution differs from the asymptotic prediction when \hat{x} is
 302 small, but approaches the asymptotic profile when $\hat{x} \gg 1$. The outer solution differs from
 303 the asymptotic region for large \hat{x} , but follows the asymptotic profile when $\hat{x} \ll 1/\sqrt{Gd}$.
 304 Importantly, for $G/d \leq 10^{-3}$, there exists an asymptotic matching region, given by (2.27),
 305 where both the inner and outer solutions are asymptotically matched.

306 Figure 3(b) presents the pressure profiles for the inner and outer solutions normalised in
 307 outer variables. For large values of θ , the inner and outer solutions differ, and only the outer
 308 solution is valid. The inner solution approaches the outer solution as θ is decreased, and
 309 the inner and outer solutions are approximately equal in the asymptotic matching region.
 310 Finite-gap effects become significant as θ is decreased further, and the inner solution
 311 begins to deviate from the outer solution. The maximum θ for which finite-gap effects
 312 are significant decreases as the gap ratio G/d is decreased.

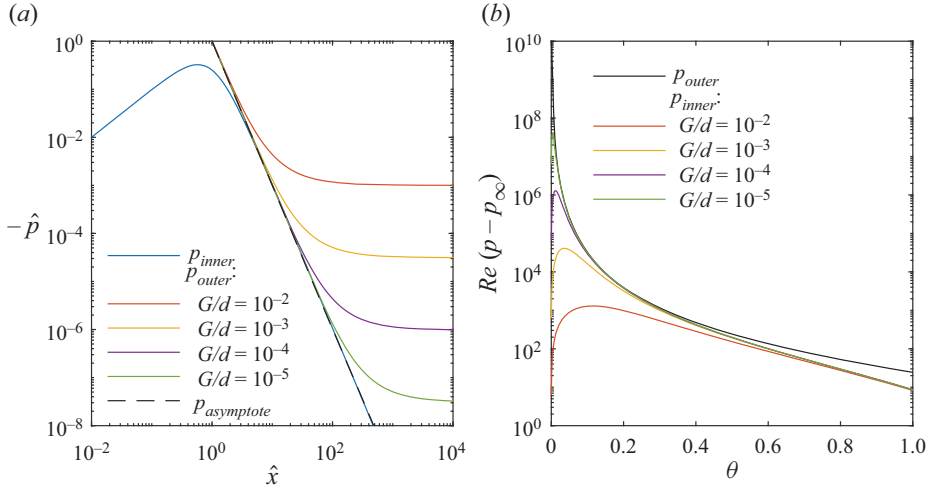


Figure 3. Asymptotic matching between the inner (2.23) and outer (2.10) pressure distributions for Stokes flow, expressed in (a) inner and (b) outer variables, respectively. The asymptotic limit of the inner and outer pressure profiles in the matching region (2.31) and (2.32) is also shown in (a).

313 We can also show that the wall shear stress distributions from the inner and outer
 314 solutions are matched asymptotically. The x -wall shear stress in the outer region (2.11)
 315 becomes, in the asymptotic matching region,

$$316 \quad \tau_{x_{outer}} = -\frac{2(2k+1)}{Re} \frac{1-2y}{y} \approx -\frac{2(2k+1)}{Re(G/d)} \frac{1}{\hat{x}^2}, \quad (2.33)$$

317 where we have assumed that $G/d \ll 1$. For $\hat{x} \gg 1$, the wall shear from the inner region
 318 (2.25) is given by

$$319 \quad \tau_{x_{inner}} \approx -\frac{2(2k+1)}{Re(G/d)} \frac{1}{\hat{x}^2}. \quad (2.34)$$

320 Equations (2.33) and (2.34) are equal, therefore the wall shear stress distributions are also
 321 matched asymptotically.

322 3. Numerical methodology

323 This section discusses the numerical method used to solve for the inertial flow over a
 324 circular cylinder near a plane wall. Two different numerical approaches are considered.
 325 First, we consider the conventional approach, where the solution is obtained numerically
 326 using a single computational domain that includes both the inner and outer regions. The
 327 second approach is to simulate numerically only the outer flow, by setting $G/d = 0$, and
 328 use the analytic lubrication solution for the inner region.

329 The structure of this section is as follows. First, in § 3.1, we discuss the conventional
 330 approach to obtaining the finite gap ratio solution over a single computational domain.
 331 Then, in § 3.2, the results of the single-domain computation are interpreted using the
 332 decomposition into inner and outer flows. Next, in § 3.3, we discuss the combined
 333 numerical–analytical approach, where the numerically obtained, G/d -independent outer
 334 flow is matched with the inner lubrication solution. Finally, the possibility of applying the

Forces and moments on a rolling cylinder

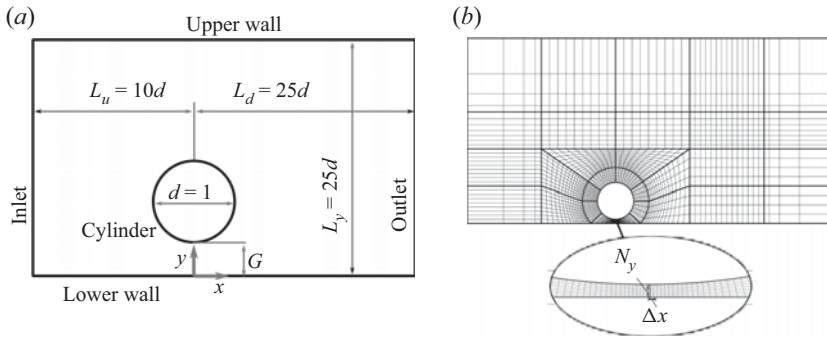


Figure 4. Schematic illustration of (a) the computational domain and (b) the block mesh scheme, for the finite gap ratio cylinder. The variables N_y and Δx denote the number of cells across the film thickness, and minimum cell spacing in the streamwise direction, respectively. Diagrams are not to scale, and the representative mesh is much coarser than those used for numerical simulations.

335 combined numerical–analytical approach to other rolling body problems is discussed in
 336 § 3.4.

337 3.1. Finite gap ratio

338 We first discuss the conventional approach for simulating numerically the inertial flow over
 339 a cylinder at a finite gap ratio. This approach considers a single computational domain that
 340 encompasses both the inner and outer regions. Importantly, no explicit decomposition into
 341 inner and outer solutions is made.

342 The computational domain and coordinate systems for this approach are as illustrated in
 343 figure 4(a). Non-dimensional coordinates are used, so that the cylinder diameter is $d = 1$.
 344 The inlet is located a distance $10d$ upstream from the centre of the cylinder, while the
 345 outlet is positioned $25d$ downstream from the cylinder. Finally, the domain is bounded by
 346 an upper wall located at vertical position $y = 25d$ above the lower wall. Simulations are
 347 performed in a Galilean reference frame co-translating the cylinder.

348 The computational domain was meshed with a block-structured mesh, using the
 349 commercial software package ICFM CFD. A schematic illustration of the blocking scheme
 350 is shown in figure 4(b). A finer mesh resolution is used near the cylinder and in the wake,
 351 while a coarser resolution is used elsewhere. The cylinder is surrounded by an ‘O’-grid
 352 block, which passes through the interstice, allowing a good mesh quality in the interstice.

353 Numerical simulations are performed using the commercial finite-volume solver
 354 ANSYS FLUENT. Spatial derivatives were discretised using the least squares cell-based
 355 formulation, with the second-order upwind scheme used for the momentum equation, and
 356 second-order central differencing used for all other equations. For transient simulations,
 357 the second-order implicit time-stepping scheme was used. The small cell size and large
 358 pressure magnitudes in the interstice result in a relatively stiff set of equations, therefore
 359 the coupled solver was used for improved robustness.

360 As G/d is decreased, the element size needed to resolve the inner lubrication flow
 361 decreases, posing increased difficulty for numerical simulations. In the present work,
 362 numerical instabilities were encountered for $G/d = 10^{-5}$, therefore simulations are
 363 performed to a minimum gap ratio $G/d = 10^{-4}$. We also remark that if an explicit scheme
 364 were used, then the time-step restrictions due to the Courant–Friedrichs–Lewy (CFL)
 365 condition would provide additional limits on the minimum gap ratio. In the present work,

	N_c	N_y	Δx	$\bar{C}_{D,wake}$	$\bar{C}_{L,wake}$	$\bar{C}_{M,wake}$	$C_{D,rms}$	$C_{L,rms}$	$C_{M,rms}$
Mesh 1	22 056	40	2×10^{-4}	2.4739	1.5754	-0.2847	0.3479	0.5708	0.0403
Mesh 2	104 227	80	1×10^{-4}	2.6346	1.5166	-0.3060	0.3761	0.6631	0.0418
Mesh 3	358 056	160	5×10^{-5}	2.6556	1.5098	-0.3094	0.3731	0.6680	0.0417
	—	—	—	(0.79 %)	(0.45 %)	(1.09 %)	(0.80 %)	(0.73 %)	(0.12 %)

Table 1. Comparison between the mean and r.m.s. wake force and moment coefficients for $Re = 200$, $k = 1$ and $G/d = 10^{-4}$ evaluated using different grid resolutions. The relative differences between the mesh 2 and mesh 3 predictions are given in parentheses.

	Houdroge <i>et al.</i> (2017)	Present study	Relative difference
\bar{C}_D	3.6973	3.6767	0.558 %
\bar{C}_L	1.6423	1.6413	0.0572 %
	Merlen & Frankiewicz (2011)	Present study	Relative difference
\bar{C}_D	6.0099	6.1374	2.12 %
\bar{C}_L	1.8660	1.9089	2.30 %

Table 2. Comparison between the force and moment coefficients predicted using the present numerical approach and previous numerical investigations: Houdroge *et al.* (2017) at $Re = 100$, $k = 1$ and $G/d = 0.005$, and Merlen & Frankiewicz (2011) at $Re = 60$, $k = 1$ and $G/d = 0.0025$.

366 the CFL limitations are avoided by using an implicit scheme. While large Courant numbers
 367 also imply a loss of temporal accuracy, the interstitial flow is time-steady, therefore
 368 relatively large Courant numbers can be tolerated in the interstice.

369 Boundary conditions for the fluid are as follows. A constant velocity $u_x = 1$, $u_y = 0$
 370 was specified at the inlet, while a constant pressure $p = 0$ was specified at the outlet.
 371 The stress-free condition was applied to the upper boundary. Finally, both the cylinder
 372 and lower wall are no-slip boundaries, with velocities $u_x = 1$ and $u_y = 0$ on the wall, and
 373 $u_x = k \cos \theta$ and $u_y = k \sin \theta$ on the cylinder.

374 A grid resolution study was performed to determine the resolution needed to obtain
 375 converged solutions. A single case with $Re = 200$, $k = 1$ and $G/d = 10^{-4}$ was considered.
 376 Table 1 lists statistics for the three meshes used for the resolution study, including the
 377 total number of cells in each mesh (N_c), the number of cells across the film thickness
 378 (N_y), and the minimum streamwise cell spacing in the interstice (Δx). The time-mean and
 379 root-mean-square (r.m.s.) wake drag lift and moment coefficients (the wake force/moment
 380 coefficients are defined in § 4) are also provided. Differences between the predicted force
 381 and moment coefficients evaluated using mesh 2 and mesh 3 are below 1.1 %, therefore
 382 mesh 2 is sufficient to resolve the force and moment coefficients.

383 Finally, we compare our predicted force and moment coefficients to results from
 384 previous numerical investigations, which are presented in table 2. First, we compare the
 385 predicted mean drag and lift coefficients at $k = 1$, $Re = 100$ and $G/d = 0.005$ to results
 386 from Houdroge *et al.* (2017). Excellent agreement is observed, with errors below 0.6 %.
 387 Next, we compare the mean drag and lift coefficients at $k = 1$, $Re = 60$ and $G/d = 0.0025$
 388 to results presented in Merlen & Frankiewicz (2011). Good agreement is observed, with
 389 errors below 2.3 %. Therefore, the present numerical results are validated successfully
 390 against previous results.

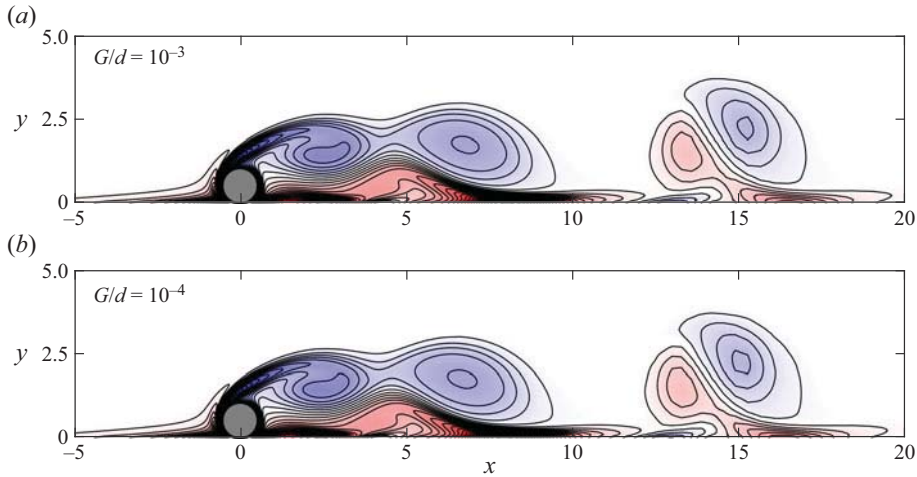


Figure 5. Vorticity contours for the rolling cylinder at $Re = 100$, $k = 1$ and $t = 195$, for gap ratios (a) $G/d = 10^{-3}$ and (b) $G/d = 10^{-4}$, obtained using the single-domain, finite gap ratio method.

391 *3.2. The inner and outer solutions for inertial flow*

392 As discussed in §2, the flow over a cylinder at small gap ratios can be separated
 393 conceptually into an outer flow, which is independent of G/d , and an inner lubrication flow,
 394 where gap ratio effects are significant. In this subsection, the results of the single-domain,
 395 finite gap ratio simulations are interpreted and analysed using this decomposition into
 396 inner and outer flows, to demonstrate that the outer flow is independent of G/d , and that
 397 the lubrication solution is applicable in the inner region.

398 Simulations are performed at $Re = 100$ and $k = 1$, for a range of gap ratios between
 399 $G/d = 10^{-2}$ and $G/d = 10^{-4}$. For these parameters, the unconstrained wake is typically
 400 three-dimensional (Houdroge *et al.* 2017). For simplicity, however, only two-dimensional
 401 simulations are considered in this work. For two-dimensional flow at $Re = 100$ and $k = 1$,
 402 the wake features periodic vortex shedding (Stewart *et al.* 2010b; Houdroge *et al.* 2017).
 403 We remark that the wake dynamics and transitions have been studied in great detail by
 404 Stewart *et al.* (2010b) and Houdroge *et al.* (2017), and are not the main focus of this work.
 405 The present work is concerned with determining the force and moment coefficients as
 406 functions of Re , G/d and k , using the decomposition into inner and outer flows.

407 **Figure 5** presents vorticity contours for the rolling cylinder at $Re = 100$ and $k =$
 408 1 , for gap ratios $G/d = 10^{-3}$ and 10^{-4} , at flow time $t = 195$, which corresponds
 409 approximately to the maximum drag coefficient. A transient animation is provided
 410 in supplementary movie 1 available at <https://doi.org/10.1017/jfm.2023.296>. The wake
 411 features the periodic shedding of vortices from the upper shear layer, which interact
 412 with secondary vorticity from the wall to form counter-rotating vortex pairs (Houdroge
 413 *et al.* 2017). Importantly, there is almost no perceptible difference in the wake between
 414 $G/d = 10^{-3}$ and $G/d = 10^{-4}$, confirming that the assumption of a G/d -independent
 415 outer flow is reasonable for inertial flows.

416 While the flow far from the interstice is independent of G/d , the interstitial flow depends
 417 strongly on gap ratio. **Figure 6** presents streamlines (contours of the streamfunction)
 418 in the interstice for $G/d = 10^{-3}$ and 10^{-4} , and significant differences between the
 419 streamfunctions are observed between the two plots. In particular, the upstream and
 420 downstream saddle points (labelled S_u and S_d in **figure 6**) move closer to the contact

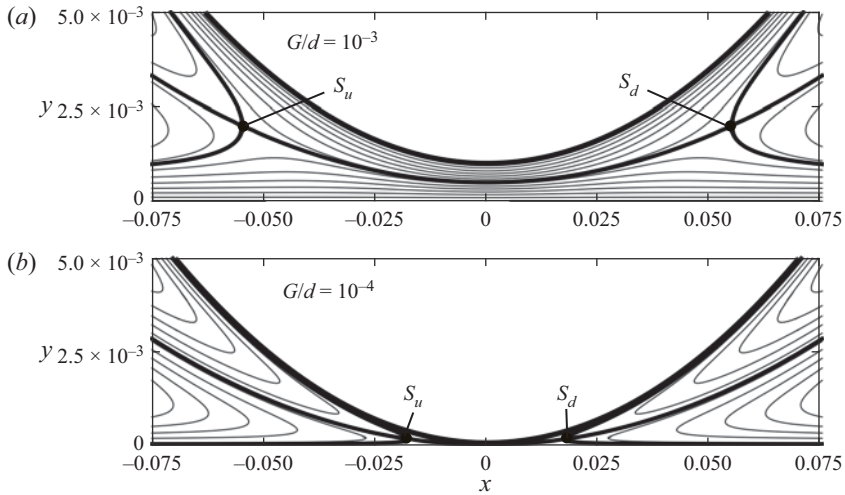


Figure 6. Contours of the streamfunction, Ψ near the interstice for the rolling cylinder at $Re = 100, k = 1$ and $t = 195$, for gap ratios (a) $G/d = 10^{-3}$ and (b) $G/d = 10^{-4}$, obtained using the single-domain, finite gap ratio method outlined in § 3.1. The contour increment is $\Delta\Psi = 10^{-4}$, and axes are stretched vertically for clarity.

421 point ($x = 0$) as G/d is decreased, and the total mass flow rate through the interstice also
 422 decreases with the gap ratio.

423 Figures 5 and 6 validate our assumption that the flow far from the interstice (the outer
 424 flow) is relatively independent of G/d , while the interstitial (inner) flow depends strongly
 425 on the gap ratio. This can be demonstrated further by considering the pressure distribution
 426 on the surface of the cylinder. Since the wake is periodic, we compute the mean pressure
 427 \bar{p} , which is the pressure averaged over a single vortex-shedding cycle. We stress once again
 428 that since the single-domain method is used, a single pressure distribution, valid in both
 429 the inner and outer domains, is obtained for each gap ratio. This pressure distribution may
 430 be non-dimensionalised according to either outer variables (as \bar{p}) or inner variables (as
 431 $\hat{p} = \bar{p} Re (G/d)^{3/2} / (2(1+k))$).

432 Figure 7(a) presents the mean pressure on the cylinder surface for $Re = 100, k = 1$ and
 433 for a range of gap ratios, normalised by inner variables. The theoretical prediction from
 434 lubrication theory (2.22) is also shown. The profiles for $G/d = 10^{-3}$ and 10^{-4} are visually
 435 indistinguishable from the lubrication solution, confirming that the lubrication solution is
 436 valid in the inner region when $G/d \leq 10^{-3}$.

437 The lubrication solution for the inner region is obtained under the assumption of steady
 438 flow. To check this, we have also plotted profiles of the r.m.s. pressure, normalised by inner
 439 variables, in figure 7(a). The r.m.s. pressures are negligible when compared to the mean
 440 pressure profiles, therefore the assumption of steady flow is valid in the inner region.

441 Figure 7(b) shows the mean pressure on the cylinder surface normalised by outer
 442 variables, at $Re = 100, k = 1$ and for a range of gap ratios. Far from the interstice (which
 443 is located at $\theta = 0, 2\pi$), the pressure distributions follow a single curve, confirming that
 444 the outer flow is independent of the gap ratio. The analytical solution for Stokes flow (2.10)
 445 is also presented in figure 7(b). While the inertial solutions for various G/d follow a single
 446 curve, this curve differs substantially from the Stokes flow solution. Therefore, for inertial
 447 flows, there is a G/d -independent outer solution that differs from the Stokes flow solution.

Forces and moments on a rolling cylinder

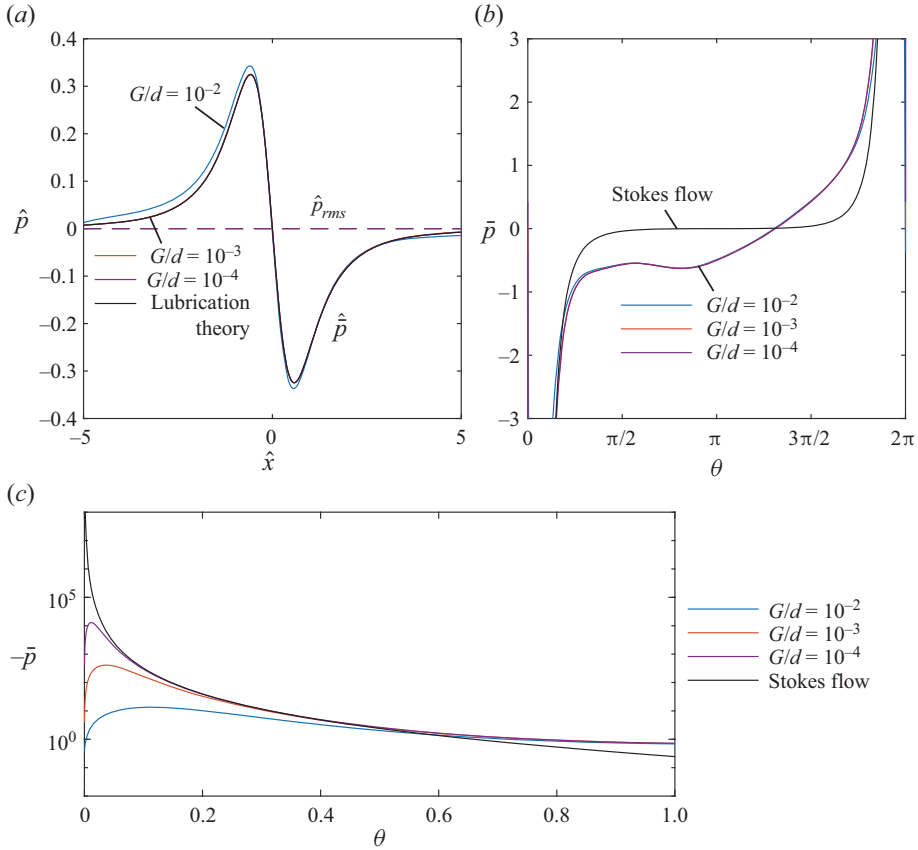


Figure 7. Mean pressure distribution on the cylinder surface normalised using (a) inner and (b,c) outer variables for $Re = 100$ and $k = 1$. Solid black lines indicate the analytical solutions for (a) lubrication theory (2.23) and (b) Stokes flow (2.10). A logarithmic y-axis is used in (c) to show that the outer solution approaches the Stokes flow solution in the region where the inner and outer solutions are asymptotically matched. The r.m.s. pressure is indicated by dashed lines in (a).

448 **Figure 7(c)** shows the mean pressure on the cylinder surface in the region near the
 449 interstice, on a logarithmic y-axis. For small θ , the pressure profiles no longer follow
 450 a single G/d -independent solution, confirming that gap ratio effects are significant in
 451 the inner region. As θ is decreased, but still sufficiently large for gap ratio effects to be
 452 negligible, the inertial pressure distributions approach the Stokes flow solution. Therefore,
 453 the inertial outer-flow solution approaches the Stokes flow solution as θ approaches zero.

454 In this subsection, we have examined the flow over a rolling cylinder at a finite gap
 455 ratio, using a single-domain numerical computation. By interpreting this solution using
 456 the decomposition into inner and outer solutions, we have shown that for a sufficiently
 457 small gap ratio ($G/d \leq 10^{-3}$):

- 458 (i) the inner flow is given by the analytic solution to lubrication theory;
- 459 (ii) the outer flow is independent of the gap ratio, but differs from the Stokes flow
 460 solution;
- 461 (iii) as the interstice is approached, the inertial outer-flow solution approaches the Stokes
 462 flow solution.

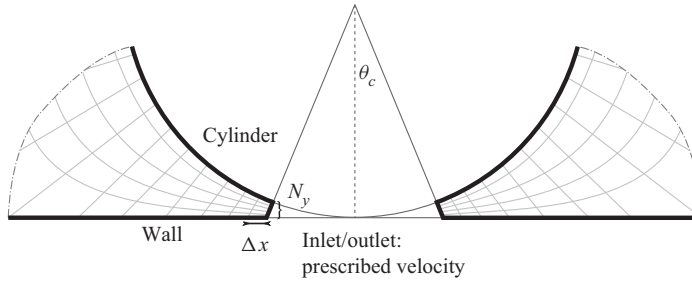


Figure 8. For zero-gap ratio simulations, the contact point is removed from the mesh and replaced with prescribed velocity boundaries, thereby avoiding the infinite pressure at the contact point. The parameters Δx and N_y are the minimum cell spacing in the x -direction, and the number of cells across the film thickness, respectively.

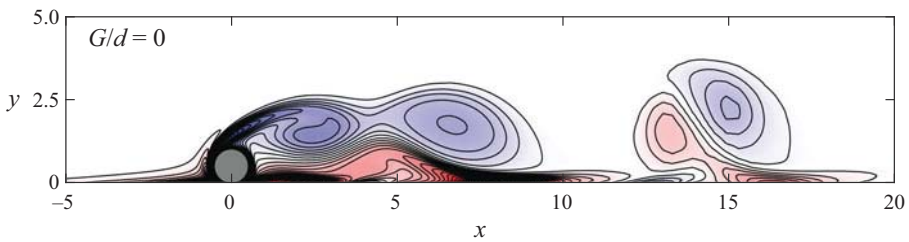


Figure 9. Vorticity contours for the rolling cylinder at $Re = 100$, $k = 1$ and $t = 195$, obtained using the $G/d = 0$ method outlined in this subsection.

463

3.3. Obtaining the outer-flow solution for $G/d = 0$

464 The results of § 3.2 show that the outer flow does not depend on G/d , while the inner
 465 flow matches the analytic solution obtained using lubrication theory. Therefore, the
 466 single-domain approach is inefficient: numerical simulations are performed for each value
 467 of G/d , despite the fact that this affects only the inner flow, for which we already have an
 468 analytic solution. Therefore, we propose a new approach, where numerical simulations are
 469 performed only to obtain the G/d -independent outer solution. This solution can then be
 470 matched with the analytic solution to the inner flow to obtain a complete solution, valid
 471 for small gap ratios.

472 To obtain the G/d -independent outer flow, we assume $G/d = 0$, thereby avoiding
 473 any finite-gap effects. Under this condition, the pressure approaches infinity at the
 474 contact point. The infinite pressures are avoided by removing the contact point from the
 475 computational domain, as shown in figure 8. New inlet/outlet boundaries are introduced at
 476 $\theta = \pm\theta_c$, and the velocity at these boundaries is set to the Stokes flow velocity profiles
 477 (2.7) and (2.8). Since the inertial outer flow solution is approximately equal to the
 478 Stokes flow solution for small θ , this approximation is reasonable when θ_c is small. All
 479 other aspects of the numerical method, including the discretisation methods, boundary
 480 conditions and mesh scheme, are identical to the finite-gap simulations described in § 3.1.

481 Figure 9 presents vorticity contours obtained using the zero-gap method, for $k = 1$, $Re =$
 482 100 and $\theta_c = 0.01$. A transient animation is also provided in supplementary movie 1. The
 483 observed wake is nearly identical to that obtained using the single-domain simulations at
 484 $G/d = 10^{-3}$ and 10^{-4} (figures 5a,b), confirming that the proposed numerical approach is
 485 capable of predicting correctly the G/d -independent outer flow.

Forces and moments on a rolling cylinder

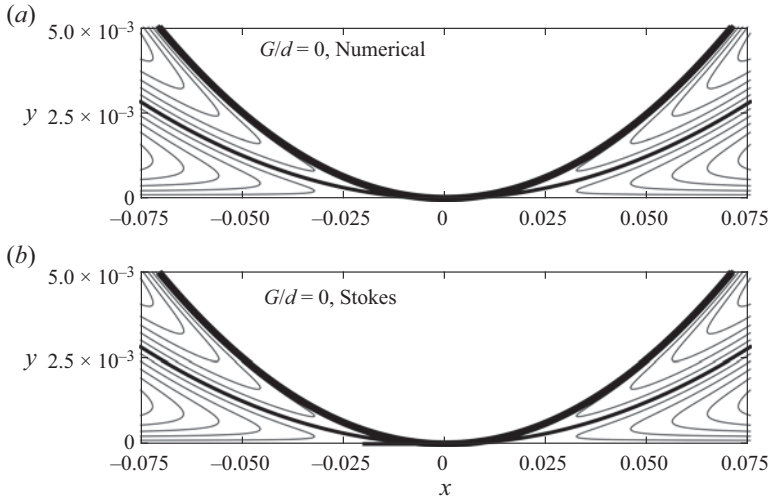


Figure 10. Contours of the streamfunction Ψ near the interstice for the rolling cylinder at $Re = 100$, $k = 1$, $t = 195$ and $G/d = 0$: (a) numerical result, and (b) the analytic Stokes flow solution (2.7) and (2.8).

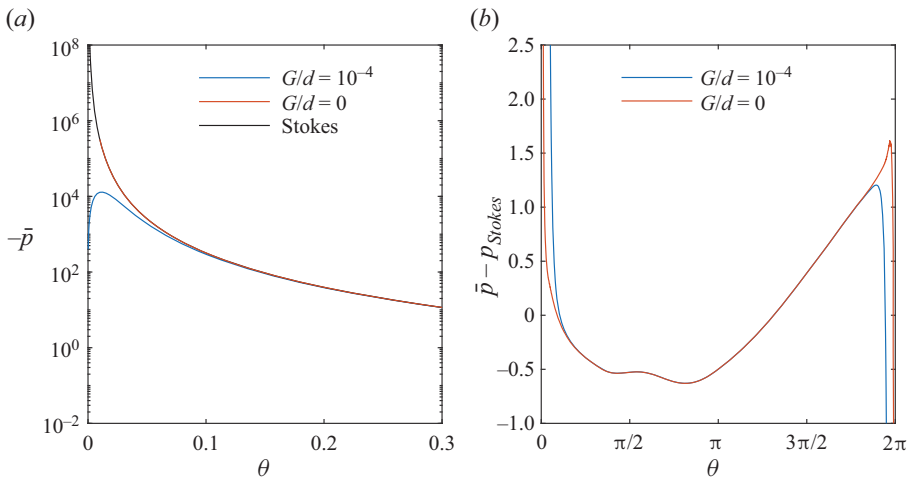


Figure 11. (a) Mean pressure distribution on the cylinder surface for $G/d = 0$ and 10^{-4} at $Re = 100$ and $k = 1$. (b) Difference between the mean pressure distributions for inertial flow and Stokes flow ($\bar{p} - p_{Stokes}$) at $Re = 100$ and $k = 1$.

486 **Figure 10(a)** presents streamfunction contours near the contact point for $G/d = 0$,
 487 $k = 1$ and $Re = 100$ obtained numerically with $\theta_c = 0.01$, while **figure 10(b)** presents
 488 streamfunction contours for Stokes flow (2.7) and (2.8). The predicted streamlines are
 489 nearly identical, confirming that the proposed method produces a velocity field that is
 490 approximately equal to the Stokes flow solution near the contact point. Moreover, the
 491 streamfunctions for the finite-gap cases, shown in **figures 6(a,b)**, appear to converge
 492 towards the zero-gap solution as G/d approaches zero.

493 Note that the outer solution obtained under the assumption $G/d = 0$ is valid for $|\theta| \gg$
 494 $2\sqrt{G/d}$ (see (2.27)), and the inner lubrication solution must be used when $|\theta|$ is below this
 495 value. To illustrate this point, **figure 11(a)** presents the mean pressure along the cylinder

496 surface for $Re = 100$ and $k = 1$ obtained using the $G/d = 0$ approach outlined in this
 497 subsection, with $\theta_c = 0.01$, and a solution obtained using the conventional single-domain
 498 approach outlined in § 3.1, for finite gap ratio $G/d = 10^{-4}$. The Stokes flow solution for
 499 the outer flow (2.10) is also shown. All solutions are in good agreement between $\theta = 0.1$
 500 and $\theta = 0.3$. However, finite-gap effects become significant for $\theta < 0.1$, and the $G/d = 0$
 501 solution does not match the $G/d = 10^{-4}$ solution in this region.

502 Therefore, we introduce a transition angle θ_0 that separates the inner and outer solutions.
 503 By using the numerically obtained $G/d = 0$ outer solution for $|\theta| \geq \theta_0$, and the inner
 504 lubrication solution for $|\theta| < \theta_0$, we obtain a complete solution to the flow over a rolling
 505 cylinder at small, but finite, gap ratios. Importantly, θ_0 must lie in the asymptotic matching
 506 region given by (2.27), therefore we require $2\sqrt{G/d} \leq \theta_0 \leq 2$. However, an additional
 507 constraint is that θ_0 must be sufficiently small for inertial effects to be negligible. For
 508 this, we assume a film thickness Reynolds number $Re_h \approx 1$, which by (2.14) requires $\theta_0 \approx$
 509 $2/\sqrt{Re}$. The range $0.1 \leq \theta \leq 0.3$ satisfies these conditions approximately for $G/d = 10^{-4}$
 510 and $Re = 100$, therefore θ_0 may take any value within this range. This is confirmed by the
 511 agreement between the inner and outer solutions over this range as observed in figure 11(a).

512 Figure 11(b) presents a comparison between the pressure distribution obtained under
 513 the zero-gap assumption, and the pressure obtained using the single-domain, finite-gap
 514 method. Here, we have subtracted the pressure from the Stokes flow solution (2.10) to show
 515 more clearly the inertial contribution. Away from the contact point, the single-domain and
 516 zero-gap solutions are nearly indistinguishable, therefore the zero-gap method proposed in
 517 this subsection is capable of determining the outer solution for finite-gap inertial flows, in
 518 the domain where this solution is applicable.

519 To summarise, we have shown that the inertial outer-flow solution obtained under the
 520 assumption $G/d = 0$ correctly describes the flow in the outer region ($|\theta| \gg 2/\sqrt{G/d}$)
 521 for small, but finite, gap ratios. We can then construct a complete solution by taking the
 522 numerically obtained outer solution for $|\theta| \geq \theta_0$, and using the inner lubrication solution
 523 for $|\theta| < \theta_0$, where θ_0 is in the range $2\sqrt{G/d} \ll \theta_0 \ll 2$ and $\theta_0 \approx 2/\sqrt{Re}$.

524 A grid resolution study is performed to confirm that a grid-independent outer-flow
 525 solutions is obtained. Table 3 lists four meshes used for this resolution study, including
 526 the number of cells in each mesh (N_c), the representative cell sizes Δx and N_y (which are
 527 illustrated in figure 8), and the cut-out angle θ_c . The time-mean and r.m.s. wake force and
 528 moment coefficients are also provided, and changes to these quantities between meshes 2
 529 and 3 are below 1%. Therefore, mesh 2 is considered sufficient to resolve the force and
 530 moment coefficients.

531 Mesh 4 has the same resolution as mesh 2, but with $\theta_c = 0.02$. Changes to the mean
 532 and r.m.s. wake force and moment coefficients between meshes 2 and 4 are below 0.02%,
 533 confirming that $\theta_c = 0.01$ is sufficiently small to not introduce any significant errors.

534 Note that the minimum spacing in the x -direction for mesh 2 is $\Delta x = 10^{-5}$, an order
 535 of magnitude smaller than the minimum spacing used for the finite G/d computations
 536 (table 1). This was to reduce numerical errors associated with taking the difference
 537 of large numbers, which occurs in some of our analysis (see Appendix A). However,
 538 in Appendix A, we demonstrate that taking a larger value of $\Delta x = 5 \times 10^{-4}$ does not
 539 significantly affect the predicted force and moment coefficients.

540 In this subsection, we have simulated the flow over a cylinder at $G/d = 0$ by removing
 541 the contact point from the computational domain in order to avoid the infinite pressure at
 542 the contact point. Pirozzoli, Orlandi & Bernardini (2012) have also performed numerical
 543 simulations of the rolling cylinder at $G/d = 0$, but do not report any difficulties with

Forces and moments on a rolling cylinder

	N_c	N_y	θ_c	Δx	$\bar{C}_{D,wake}$	$\bar{C}_{L,wake}$	$\bar{C}_{M,wake}$	$C_{D,rms}$	$C_{L,rms}$	$C_{M,rms}$
Mesh 1	24750	40	0.01	2×10^{-5}	2.4809	1.5863	-0.2909	0.3476	0.5700	0.0403
Mesh 2	107242	80	0.01	1×10^{-5}	2.6388	1.5257	-0.3068	0.3756	0.6651	0.0419
Mesh 3	439058	160	0.01	5×10^{-6}	2.6566	1.5205	-0.3093	0.3725	0.6673	0.0417
	—	—	—	—	(0.67 %)	(0.34 %)	(0.80 %)	(0.82 %)	(0.32 %)	(0.61 %)
Mesh 4	101067	80	0.02	1×10^{-5}	2.6391	1.5255	-0.3068	0.3755	0.6652	0.0419
	—	—	—	—	(0.012 %)	(0.009 %)	(0.014 %)	(0.011 %)	(0.009 %)	(0.018 %)

Table 3. Comparison in the predicted mean and r.m.s. wake force and moment coefficients for $Re = 200$ and $k = 1$, evaluated using different grid resolutions. The relative differences between the predictions from meshes 2 and 3, and meshes 2 and 4, are given in parentheses.

544 infinite pressures at the contact point. They report finite values for the drag coefficient
545 at $G/d = 0$, in contrast with both the Stokes flow predictions and the present study.
546 This discrepancy is likely a result of insufficient resolution to capture the flow near the
547 contact point. They use a relatively low grid resolution of 40 points per cell radius, which
548 means that near the contact point (specifically, for $-0.1 < x/d < 0.1$), the cylinder and
549 the wall lie in the same computational cell. It is unlikely that the flow in this region is
550 resolved satisfactorily, and the finite drag coefficients reported in that work are considered
551 unreliable. However, since the outer flow is relatively insensitive to the flow near the
552 contact point, the outer flow may be resolved correctly in their work.

553 3.4. *Application of the proposed method to other problems*

554 This paper has considered only the two-dimensional flow over a rolling cylinder. However,
555 we anticipate that the approach outlined in this work may be extended to other rolling body
556 problems, such as the flow over a rolling sphere or a finite cylinder (wheel). The method of
557 matched asymptotic expansions has already been applied to the Stokes flow over a rolling
558 sphere (Goldman *et al.* 1967; O'Neill & Stewartson 1967; Cooley & O'Neill 1968), to
559 decompose the flow into inner and outer expansions. Therefore, we expect that the same
560 method may be applied to the inertial flow over a rolling sphere.

561 We remark, however, that there are both qualitative and quantitative differences between
562 the Stokes flows over rolling cylinders and spheres. For example, both the torque applied
563 to a purely translating cylinder and the force applied to a purely rotating cylinder are zero
564 (Jeffrey & Onishi 1981), which is not the case for the rolling and translating spheres.
565 Moreover, the force and moment applied to a rolling sphere both exhibit a logarithmic
566 dependence on the gap ratio (Goldman *et al.* 1967; O'Neill & Stewartson 1967; Cooley &
567 O'Neill 1968), compared to the $(G/d)^{-1/2}$ dependence for the force and moment applied to
568 the rolling cylinder (Merlen & Frankiewicz 2011). Despite these differences, the method of
569 asymptotic expansions has been applied successfully to the Stokes flow over both cylinders
570 and spheres, therefore the same approach should be applicable to the inertial flow over a
571 rolling sphere.

572 The present paper has also neglected several physical effects that are likely to be
573 present under typical experimental conditions, including surface roughness, cavitation and
574 compressibility. These effects are likely to be significant in the inner region, therefore a
575 modified lubrication theory must be used to account for these effects, such as Patir &
576 Cheng (1978) for rough surfaces, Almqvist *et al.* (2014) for compressible and cavitating
577 lubrication, or Harp & Salant (2001) for roughness-induced inter-asperity cavitation.

578 However, these effects are likely to be negligible in the outer region. Therefore, the
579 present method will allow these effects to be considered separately from those of inertia,
580 which affects only the outer solution. For example, the height of surface asperities is
581 generally much smaller than the cylinder diameter, therefore surface roughness will be
582 negligible in the outer region, except at high Reynolds numbers when the boundary layer
583 thickness is comparable to the surface roughness height.

584 Similarly, the magnitude of the pressure in the outer region is generally small, except
585 near the contact point where the outer solution is invalid. Hence we expect cavitation
586 and compressibility effects to be confined to the inner region, at least for a wide range of
587 experimental parameters. This is supported by the experimental observation that typically
588 cavitation bubbles are confined to the inner region, for both spheres (Ashmore *et al.* 2005)
589 and cylinders (Seddon & Mullin 2006). Moreover, Ashmore *et al.* (2005) are able to predict

590 the motion of a sphere in a cavitating flow by assuming that flow outside the cavitation
591 region is not affected by the formation of the cavitation bubble.

592 Seddon & Mullin (2006), however, have argued that, unlike the flow over a rolling
593 sphere, cavitation in the interstice of the rolling cylinder modifies the outer flow to
594 the extent that reverse rotation of the cylinder is observed. They argue that cavitation
595 introduces a blockage effect, reducing the mass flow through the interstice. As a result,
596 more fluid must flow around the upper surface of the cylinder, modifying the outer flow.
597 However, the gap-to-diameter ratio also affects the volume flow rate of fluid through
598 the interstice, yet the outer solution is insensitive to G/d (Merlen & Frankiewicz 2011).
599 Therefore, there is no reason to assume that cavitation in the inner region directly affects
600 the outer flow in this manner. A possible explanation for the observed reverse rotation of
601 the cylinder is that cavitation modifies the inner-flow contribution to the moment applied to
602 the cylinder, thereby altering the rotation rate. This would, of course, indirectly affect the
603 outer flow, through its dependence on the parameter k . This proposal remains unconfirmed,
604 however, and further research is needed to determine whether the effects of cavitation are
605 confined to the inner region of the rolling cylinder flow.

606 4. Forces and moments

607 In this section, we discuss the computation of the force and moment coefficients using
608 the inner and outer solutions. We first discuss the forces and moments for the Stokes flow
609 solutions in § 4.1. Then the force and moment coefficients for inertial flows are discussed
610 in §§ 4.2 and 4.3. Finally, in § 4.4, we present a parameter space study of the force and
611 moment coefficients for a range of k and Re .

612 The total forces and moments applied to the cylinder are computed as

$$613 \quad C_D = \int_0^{2\pi} (-p \sin \theta + \tau_x) d\theta, \quad (4.1)$$

$$614 \quad C_L = \int_0^{2\pi} (p \cos \theta + \tau_y) d\theta, \quad (4.2)$$

$$615 \quad C_M = \int_0^{2\pi} (\tau_y \sin \theta + \tau_x \cos \theta) d\theta. \quad (4.3)$$

616 Each of these integrals is split into inner and outer regions as follows. First, the force and
617 moment contributions from the outer region are written as

$$618 \quad C_{D,O} = \int_{\theta_0}^{2\pi-\theta_0} (-p \sin \theta + \tau_x) d\theta, \quad (4.4)$$

$$619 \quad C_{L,O} = \int_{\theta_0}^{2\pi-\theta_0} (p \cos \theta + \tau_y) d\theta, \quad (4.5)$$

$$620 \quad C_{M,O} = \int_{\theta_0}^{2\pi-\theta_0} (\tau_y \sin \theta + \tau_x \cos \theta) d\theta, \quad (4.6)$$

621 while the force and moment contributions from the inner region are

$$622 \quad C_{D,I} = \int_{-\hat{x}_0}^{\hat{x}_0} [-4(G/d)\hat{x}p + 2(G/d)^{1/2}\tau_x] d\hat{x}, \quad (4.7)$$

$$623 \quad C_{L,I} = \int_{-\hat{x}_0}^{\hat{x}_0} 2(G/d)^{1/2}(p + \tau_y) d\hat{x}, \quad (4.8)$$

$$624 \quad C_{M,I} = \int_{-\hat{x}_0}^{\hat{x}_0} [2(G/d)^{1/2}\tau_x + 4(G/d)\hat{x}\tau_y] d\hat{x}, \quad (4.9)$$

625 where $\hat{x}_0 \approx \sin \theta_0 / (2\sqrt{G/d})$, and subscripts *I* and *O* denote the inner and outer regions,
 626 respectively. As discussed in § 3.3, the parameter θ_0 denotes the boundary between the
 627 inner and outer regions, and must lie in the region where the inner and outer solutions
 628 are asymptotically matched ($2\sqrt{G/d} \ll \theta_0 \ll 2$ and $\theta_0 \lesssim 2/\sqrt{Re}$). Within this range, the
 629 individual force and moment contributions from the inner and outer regions may depend
 630 on the value of θ_0 , but the total forces and moments must be independent of θ_0 .

631 4.1. Stokes flow

632 Substituting (2.23) and (2.25) into (4.7)–(4.9), we obtain the following expressions for the
 633 contributions to the force and moment coefficients from the inner region:

$$634 \quad C_{D,I} = \frac{8}{Re (G/d)^{1/2}} \tan^{-1} \hat{x}_0, \quad (4.10)$$

$$635 \quad C_{L,I} = 0, \quad (4.11)$$

$$636 \quad C_{M,I} = \frac{8}{Re (G/d)^{1/2}} \left[-k \tan^{-1} \hat{x}_0 + (1+k) \frac{\hat{x}_0}{1+\hat{x}_0^2} \right]. \quad (4.12)$$

637 Similarly, substituting (2.10)–(2.12) into (4.4)–(4.6) gives expressions for the contribution
 638 to the force and moment coefficients from the outer region for Stokes flow:

$$639 \quad C_{D,O,S} = \frac{8}{Re} [\cot(\theta_0/2) + k \sin \theta_0], \quad (4.13)$$

$$640 \quad C_{L,O,S} = 0, \quad (4.14)$$

$$641 \quad C_{M,O,S} = -\frac{8(2k+1)}{Re} \cot(\theta_0/2), \quad (4.15)$$

642 where a subscript *S* is used for the Stokes flow solutions. When θ_0 is within the asymptotic
 643 matching region ($\hat{x}_0 \gg 1$ and $\theta_0 \ll 1$), these are approximated as

$$644 \quad C_{D,I} \approx \frac{8}{Re (G/d)^{1/2}} \left[\frac{\pi}{2} - \frac{1}{\hat{x}_0} \right], \quad (4.16)$$

$$645 \quad C_{M,I} \approx \frac{8}{Re (G/d)^{1/2}} \left[-\frac{\pi}{2} k + (2k+1) \frac{1}{\hat{x}_0} \right], \quad (4.17)$$

$$646 \quad C_{D,O,S} \approx \frac{8}{Re (G/d)^{1/2}} \frac{1}{\hat{x}_0}, \quad (4.18)$$

$$647 \quad C_{M,O,S} \approx -\frac{8(2k+1)}{Re (G/d)^{1/2}} \frac{1}{\hat{x}_0}, \quad (4.19)$$

648 and the total force and moment coefficients for Stokes flow are therefore given by

$$649 \quad C_{D,S} = \frac{4\pi}{Re (G/d)^{1/2}}, \quad (4.20)$$

$$650 \quad C_{L,S} = 0, \quad (4.21)$$

$$651 \quad C_{M,S} = -\frac{4\pi k}{Re (G/d)^{1/2}}, \quad (4.22)$$

652 in agreement with Merlen & Frankiewicz (2011). Importantly, while the drag and moment
 653 coefficients from both the inner and outer regions ((4.16)–(4.19)) depend on the boundary
 654 between the inner and outer regions (θ_0), the total force and moment coefficients
 655 ((4.20)–(4.22)) do not.

656 4.2. Inertial flow

657 We now consider the force and moment coefficients for inertial flow. Since inertial effects
 658 are negligible in the inner region, the force and moment coefficients for the inner region
 659 ($C_{D,I}$, $C_{L,I}$ and $C_{M,I}$) are given by the lubrication solution (4.10)–(4.12). The force and
 660 moment coefficients for the outer region ($C_{D,O}$, $C_{L,O}$ and $C_{M,O}$) are evaluated using
 661 (4.4)–(4.6), with the pressure and velocity fields obtained numerically using the $G/d = 0$
 662 approach described in § 3.3. In this subsection, we consider the mean force and moment
 663 coefficients averaged over one period of the saturated vortex shedding state, which are
 664 denoted $\bar{C}_{D,O}$, $\bar{C}_{L,O}$ and $\bar{C}_{M,O}$, respectively. The transient behaviour of the force and
 665 moment coefficients is considered later, in § 4.3. Only the inertial outer-flow solutions are
 666 time-averaged, as both the inner lubrication and outer Stokes flow solutions are steady in
 667 time. Note that equations derived in this subsection are expressed in terms of instantaneous
 668 quantities, for generality. The corresponding expressions for time-averaged quantities are
 669 identical.

670 Figure 12(a) plots the numerically obtained values of $\bar{C}_{D,O}$, $\bar{C}_{L,O}$ and $\bar{C}_{M,O}$ against
 671 θ_0 , for $Re = 100$ and $k = 1$. The corresponding force and moment coefficients for Stokes
 672 flow ((4.13)–(4.15)) are indicated by dashed lines. The force and moment coefficients for
 673 inertial flow are all greater in magnitude than the corresponding values for Stokes flow,
 674 indicating that inertial effects increase the drag, lift and torque applied to the cylinder.
 675 Due to the pressure singularity at the contact point, the drag and moment coefficients are
 676 singular at $\theta_0 = 0$. However, the lift coefficient remains finite as θ_0 approaches 0.

677 The force and moment coefficients for a finite gap ratio are given as the sums of
 678 contributions from the inner and outer solutions:

$$679 \quad C_D = C_{D,I} + C_{D,O}, \quad C_L = C_{L,I} + C_{L,O}, \quad C_M = C_{M,I} + C_{M,O}. \quad (4.23a-c)$$

680 This is illustrated in figure 13, which plots the balance between the inner and outer drag
 681 coefficients against θ_0 , for $G/d = 10^{-4}$, $Re = 100$ and $k = 1$. Here, $C_{D,I}$ is given by (4.10),
 682 while $\bar{C}_{D,O}$ is evaluated numerically using the $G/d = 0$ method described in § 3.3. While
 683 both $C_{D,I}$ and $\bar{C}_{D,O}$ vary with θ_0 , the total drag coefficient (4.23a-c) is approximately
 684 constant when θ_0 is within the asymptotic matching region (estimated to be $0.1 \leq \theta_0 \leq$
 685 0.3 at $G/d = 10^{-4}$ and $Re = 100$; see § 3.3). Therefore, we can take any θ_0 within this
 686 range, and obtain the force and moment coefficients through (4.23a-c). The dashed line
 687 in figure 13 indicates the drag coefficient obtained using the single-domain computation
 688 at $G/d = 10^{-4}$, and the drag coefficient predicted by (4.23a-c) is in excellent agreement
 689 with this value when θ_0 is in the asymptotic matching region.

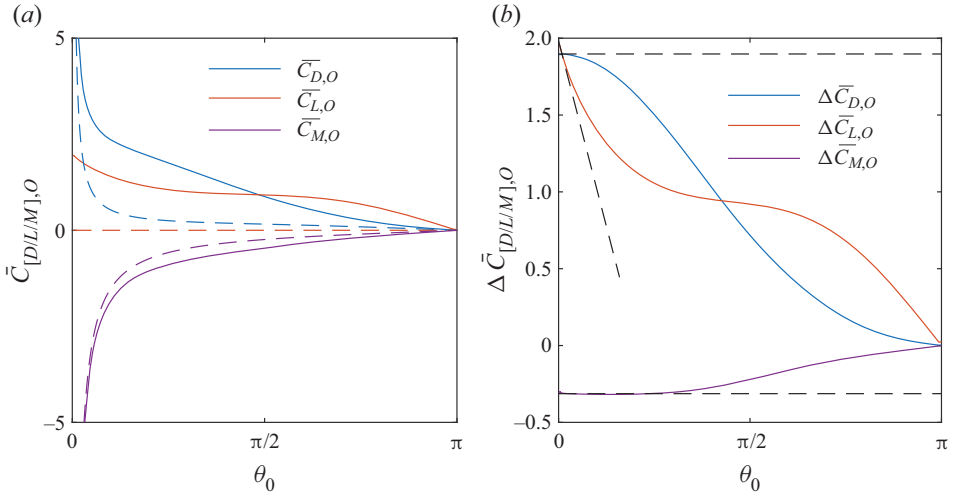


Figure 12. (a) Variation in the force and moment coefficients for the outer region ($\bar{C}_{D,O}$, $\bar{C}_{L,O}$ and $\bar{C}_{M,O}$) against θ_0 for $Re = 100$ and $k = 1$ (solid lines) as well as the Stokes flow predictions (4.13)–(4.15), shown with dashed lines. (b) Variation of the inertial contributions to the outer-flow force and moment coefficients ($\Delta \bar{C}_{D,O}$, $\Delta \bar{C}_{L,O}$ and $\Delta \bar{C}_{M,O}$) with θ_0 for $Re = 100$ and $k = 1$. Dashed lines indicate the limiting behaviour for small θ_0 .

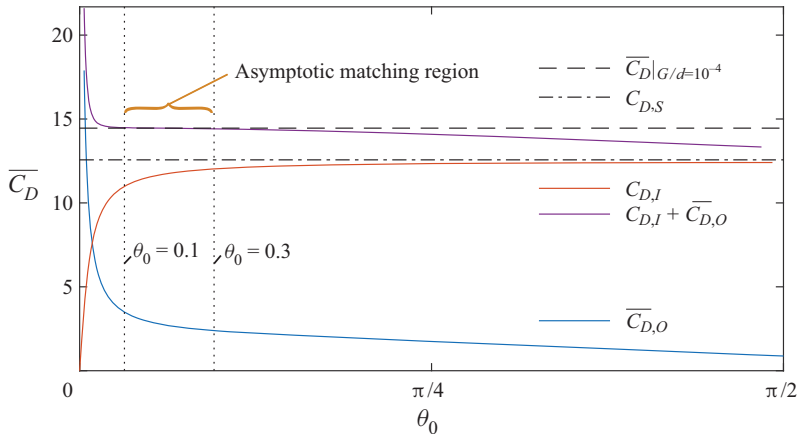


Figure 13. Contributions to the drag coefficient from the inner and outer regions, for $G/d = 10^{-4}$, $Re = 100$ and $k = 1$.

690 While (4.23a–c) is sufficient to obtain the force and moment coefficients for a given
 691 G/d , a more convenient approach is to first define the ‘wake’ force/moment coefficients as
 692

693
$$C_{D,wake} = C_D - C_{D,S}, \quad C_{L,wake} = C_L - C_{L,S}, \quad C_{M,wake} = C_M - C_{M,S}, \quad (4.24a-c)$$

694 which we interpret as representing the inertial contribution to the total force and moment
 695 coefficients. Importantly, we will show that the wake force and moment coefficients are
 696 approximately independent of G/d , and can be estimated using the outer-flow solution
 697 alone. Thus this decomposition is more convenient than (4.23a–c), as the G/d dependence

698 is contained entirely within the Stokes flow terms, for which we have a known analytic
699 solution (4.20)–(4.22).

700 Using (4.23a–c) and (4.24a–c), the wake force and moment coefficients can be
701 expressed as

$$702 \quad C_{D,wake} = \Delta C_{D,O}(\theta_0), \quad C_{L,wake} = \Delta C_{L,O}(\theta_0), \quad C_{M,wake} = \Delta C_{M,O}(\theta_0), \quad (4.25a-c)$$

703 where

$$704 \quad \Delta C_{D,O} = C_{D,O} - C_{D,O,S}, \quad \Delta C_{L,O} = C_{L,O} - C_{L,O,S}, \quad \Delta C_{M,O} = C_{M,O} - C_{M,O,S} \\ 705 \quad (4.26a-c)$$

706 are the inertial contributions to the force and moment coefficients from the outer flow,
707 which are plotted in figure 12(b). While the total force and moment coefficients are
708 singular at $\theta_0 = 0$, the inertial contributions remain bounded.

709 Since the conditions for asymptotic matching require $\theta_0 \ll 1$, we consider the behaviour
710 of $\Delta C_{D,O}$, $\Delta C_{M,O}$ and $\Delta C_{L,O}$ for small θ_0 . The asymptotic behaviours of these quantities
711 for small θ_0 are represented by dashed lines in figure 12(b). These are obtained by fitting
712 fourth-order polynomials to each of these quantities in the range $0.1 \leq \theta_0 \leq 0.5$, and
713 retaining terms up to first order in θ_0 . (The range $\theta_c \leq \theta_0 \leq 0.1$ is omitted from the
714 polynomial fit, due to numerical issues associated with large pressure magnitudes near
715 the contact point, as discussed in Appendix A.) The drag and moment coefficients are
716 approximately constant, therefore the first-order terms are also neglected, i.e.

$$717 \quad \Delta C_{D,O} \approx \Delta C_{D,O}|_{\theta_0=0} + O(\theta_0^2), \quad \Delta C_{M,O} \approx \Delta C_{M,O}|_{\theta_0=0} + O(\theta_0^2), \quad (4.27a,b)$$

718 while the lift coefficient is approximately linear, i.e.

$$719 \quad \Delta C_{L,O} \approx \Delta C_{L,O}|_{\theta_0=0} + O(\theta_0). \quad (4.28)$$

720 Terms such as $\Delta C_{D,O}|_{\theta_0=0}$ are obtained as the constant terms in the polynomial fits,
721 which, for the $Re = 100$ and $k = 1$ case shown in figure 12(b), are $\Delta C_{D,O}|_{\theta_0=0} = 1.8973$,
722 $\Delta C_{L,O}|_{\theta_0=0} = 1.9821$ and $\Delta C_{M,O}|_{\theta_0=0} = -0.3099$.

723 Based on the conditions required for asymptotic matching between the inner and outer
724 solutions, we assume that $\theta_0 \propto \sqrt{G/d}$. Then, by using (4.27a,b) and (4.28), we can
725 estimate the wake force and moment coefficients from the outer flow solution alone:

$$726 \quad C_{D,wake} = \Delta C_{D,O}|_{\theta_0=0} + O(G/d), \quad (4.29)$$

$$727 \quad C_{L,wake} = \Delta C_{L,O}|_{\theta_0=0} + O(\sqrt{G/d}), \quad (4.30)$$

$$728 \quad C_{M,wake} = \Delta C_{M,O}|_{\theta_0=0} + O(G/d). \quad (4.31)$$

729 Note that the predicted wake drag and moment coefficients are of a higher order of
730 accuracy than the wake lift coefficient.

731 Equations (4.29)–(4.31) allow the wake force and moment coefficients to be estimated
732 from the outer solution alone. The total force and moment coefficients are then obtained
733 by adding the Stokes flow force and moment coefficients:

$$734 \quad C_D = C_{D,S} + C_{D,wake}, \quad C_L = C_{L,wake}, \quad C_M = C_{M,S} + C_{M,wake}. \quad (4.32a-c)$$

735 Moreover, the wake force and moment coefficients are approximately independent of G/d ,
736 for small gaps. The gap ratio affects the force and moment coefficients through only the
737 Stokes flow terms, for which analytical expressions are given in (4.20)–(4.22).

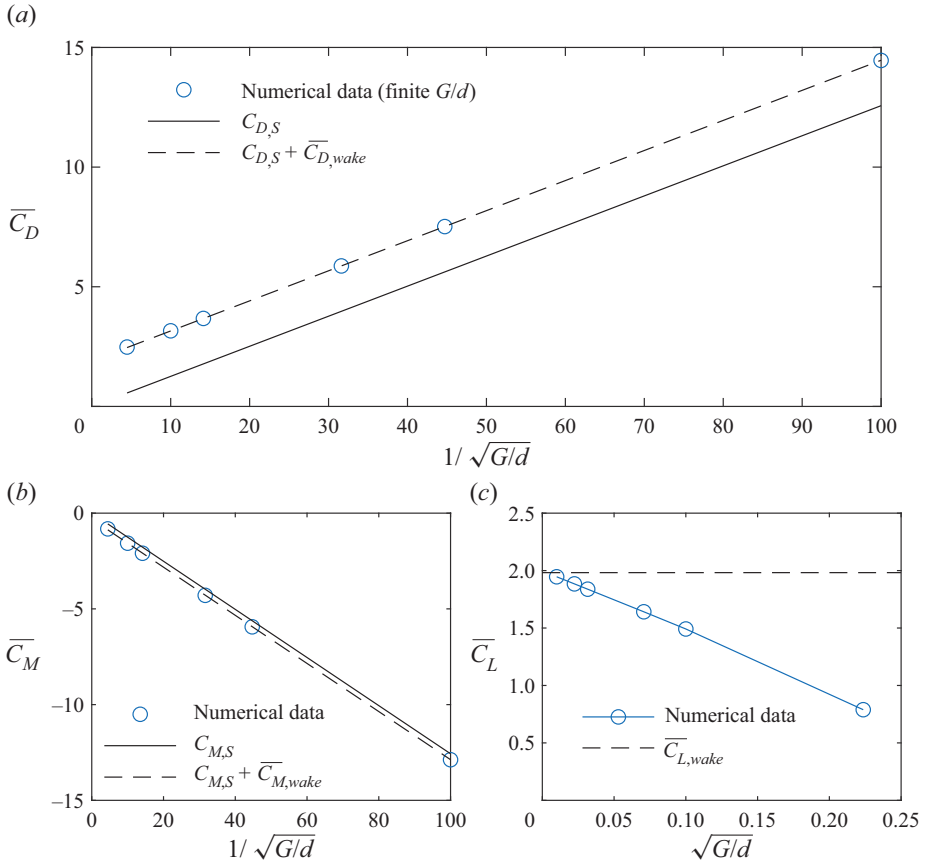


Figure 14. Comparison between the predicted force and moment coefficients (a) C_D , (b) C_M and (c) C_L against gap ratio using single-domain, finite G/d numerical simulations (circles) and the Stokes flow solution (solid lines), and by using the wake force and moment coefficients obtained from the $G/d = 0$ solution ((4.32a–c), dashed lines), for $Re = 100$ and $k = 1$.

738 We now validate the proposed approach for determining the force and moment
 739 coefficients. Figure 14 presents the variation in the force and moment coefficients against
 740 G/d for $Re = 100$ and $k = 1$, determined using finite gap ratio numerical simulations
 741 (open circles) and the Stokes flow predictions (solid lines), and by using the wake
 742 force/moment predictions from the zero-gap solution ((4.32a–c), dashed lines). For both
 743 C_D and C_M (figures 14a,b), the predictions from the finite gap ratio simulations differ
 744 from the Stokes flow predictions by a constant amount, which is equal to the wake
 745 drag/moment coefficients predicted from the zero-gap outer flow ((4.29) and (4.31)).
 746 Therefore, (4.32a–c) is found to predict accurately the drag and moment coefficients, for
 747 a wide range of gap ratios.

748 Figure 14(c) presents the \overline{C}_L predicted from finite G/d simulations, as well as predicted
 749 using (4.32a–c). While (4.32a–c) predicts a constant lift coefficient, the numerically
 750 computed values decrease with increasing G/d . The numerically obtained \overline{C}_L vary
 751 approximately linearly with $\sqrt{G/d}$, which is consistent with the order of the error estimate
 752 given in (4.30). The value of $C_{L,wake}$ predicted from the outer-flow solution (4.30) is the
 753 upper limit on the lift coefficient, as G/d approaches 0. This is confirmed by extrapolating

Forces and moments on a rolling cylinder

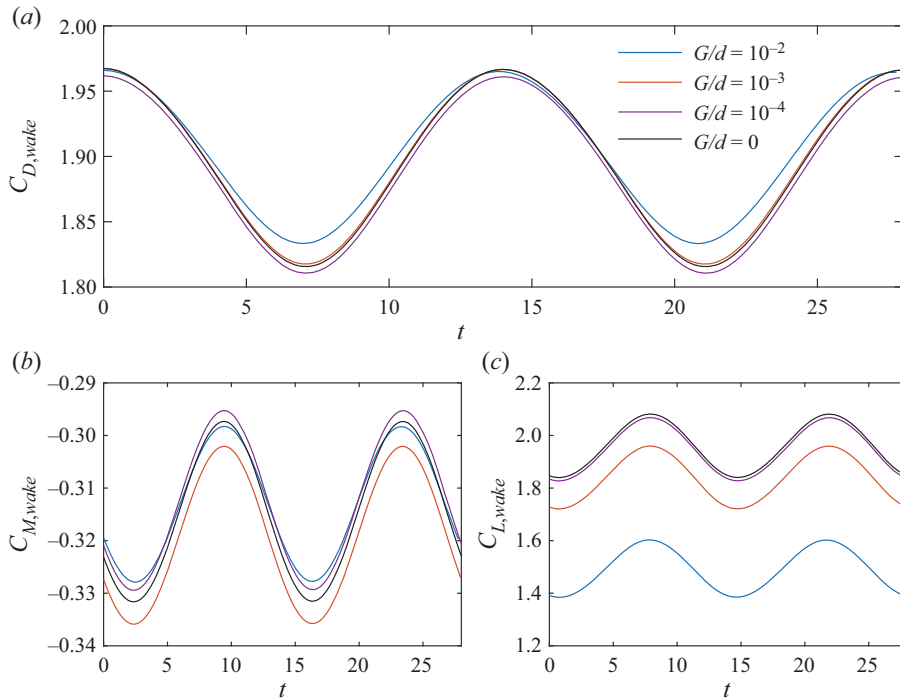


Figure 15. Time history of (a) $C_{D,wake}$, (b) $C_{M,wake}$ and (c) $C_{L,wake}$ for a range of gap ratios. Flow times are shifted so that $t = 0$ corresponds to the maximum value of $C_{D,wake}$.

754 \bar{C}_L from the finite G/d simulations to $G/d = 0$, which gives a prediction $\bar{C}_L = 1.9921$,
 755 and this is within 0.5 % of the prediction obtained using (4.30).

756 We remark that finite-gap simulations could not be performed for $G/d < 10^{-4}$, due to
 757 numerical difficulties associated with small cell sizes. However, the force and moment
 758 predictions obtained using (4.29)–(4.31) and (4.32a–c) are valid for arbitrarily small G/d ,
 759 and the accuracy of these predictions increases as G/d approaches zero. Therefore, in
 760 addition to reducing the parameter space to only two variables, the proposed method allows
 761 the force and moment predictions to be obtained for arbitrarily small G/d , while avoiding
 762 the numerical difficulties that occur in finite-gap simulations.

763 4.3. Force and moment coefficients for unsteady flow

764 While only time-averaged force and moment coefficients were discussed in §4.2,
 765 (4.29)–(4.32a–c) are also valid for the instantaneous force and moment coefficients
 766 in an unsteady flow. Figure 15 presents the time history of $C_{D,wake}$, $C_{L,wake}$ and
 767 $C_{M,wake}$ for $Re = 100$ and $k = 1$ obtained from the $G/d = 0$ numerical simulations
 768 using (4.29)–(4.31). The wake force and moment coefficients predicted using finite G/d
 769 simulations are also plotted in figure 15. To aid comparison, the flow times have been
 770 shifted so that $t = 0$ corresponds to the maximum drag coefficient. Since the wake is
 771 in the saturated state of periodic vortex shedding, the predicted wake force and moment
 772 coefficients are periodic, and two complete wake cycles are shown.

773 Figures 15(a,b) show that the instantaneous values of $C_{D,wake}$ and $C_{M,wake}$ are
 774 approximately independent of gap ratio, with some mild discrepancy observed between
 775 different values of G/d . On the other hand, figure 15(c) shows that the instantaneous

G/d	$\bar{C}_{D,wake}$	$\bar{C}_{L,wake}$	$\bar{C}_{M,wake}$	$C_{D,rms}$	$C_{L,rms}$	$C_{M,rms}$	St
0	1.89501	1.95905	-0.31466	0.05334	0.08541	0.01215	0.0714
10^{-2}	1.90290 (0.42 %)	1.49162 (23.86 %)	-0.31318 (0.47 %)	0.04669 (12.47 %)	0.07719 (9.62 %)	0.01046 (13.94 %)	0.0722 (1.12 %)
10^{-3}	1.89600 (0.05 %)	1.83841 (6.16 %)	-0.31914 (1.43 %)	0.05268 (1.23 %)	0.08455 (1.01 %)	0.01197 (1.49 %)	0.0715 (0.11 %)
10^{-4}	1.88960 (0.29 %)	1.94602 (0.67 %)	-0.31252 (0.68 %)	0.05310 (0.45 %)	0.08500 (0.48 %)	0.01210 (0.41 %)	0.0713 (0.10 %)

Table 4. Dependence of the mean and r.m.s. wake force and moment coefficients, as well as the Strouhal number (St), with gap ratio, at $Re = 100$ and $k = 1$. The relative differences between the finite-gap and zero-gap values are given in parentheses.

776 value of $C_{L,wake}$ generally increases as G/d decreases, consistent with results presented
 777 in §4.2. However, while the mean value of $C_{L,wake}$ increases with G/d , the amplitude of
 778 the oscillations in $C_{L,wake}$ appears to be relatively independent of G/d .

779 These qualitative observations are confirmed by table 4, which presents the mean and
 780 r.m.s. values of the wake force and moment coefficients, as well as the Strouhal number,
 781 for each G/d . For all quantities apart from the mean lift coefficient $\bar{C}_{L,wake}$, the relative
 782 error between the predictions for $G/d = 10^{-3}$ and $G/d = 0$ are below 1.5 %, while the
 783 relative errors between the $G/d = 10^{-4}$ and $G/d = 0$ predictions for all quantities are
 784 below 0.7 %. We remark that the discretisation errors from the grid resolution study are
 785 also of order 1 %, so it is unclear how much of the observed discrepancy is due to finite-gap
 786 effects and how much is due to grid resolution errors.

787 Differences in $\bar{C}_{L,wake}$ between the finite-gap and zero-gap solutions are substantial for
 788 both $G/d = 10^{-3}$ and $G/d = 10^{-2}$, but below 0.7 % for $G/d = 10^{-4}$. As discussed in
 789 §4.2, the value of $\bar{C}_{L,wake}$ predicted from the $G/d = 0$ simulations using (4.30) is an
 790 upper bound on the true value of $\bar{C}_{L,wake}$, with an error approximately proportional to
 791 $\sqrt{G/d}$. While the mean lift coefficient shows strong dependence on G/d , $C_{L,rms}$ shows
 792 only weak dependence on G/d , and the differences in $C_{L,rms}$ between the finite-gap and
 793 zero-gap solutions are comparable to the corresponding differences in both $C_{M,rms}$ and
 794 $C_{D,rms}$. Therefore, while the mean value of $C_{L,wake}$ depends on G/d , the amplitude of
 795 oscillations of $C_{L,wake}$ is relatively insensitive to G/d .

796 Differences in $C_{D,rms}$, $C_{L,rms}$ and $C_{M,rms}$ between the $G/d = 10^{-2}$ and $G/d = 0$
 797 predictions are substantial. This is not surprising, given that the decomposition into inner
 798 and outer solutions is valid only for small G/d . Moreover, figure 7(a) demonstrates that
 799 the lubrication solution to the inner region is not valid for $G/d = 10^{-2}$. Despite these
 800 observations, the values of $\bar{C}_{D,wake}$ and $\bar{C}_{M,wake}$ predicted for $G/d = 10^{-2}$ are within
 801 0.5 % of those predicted using $G/d = 0$, therefore the decomposition into inner and outer
 802 flows is surprisingly effective in predicting the mean drag and moment coefficients, even
 803 for relatively large G/d where the decomposition into inner and outer flows is not strictly
 804 valid.

805

4.4. Parameter space

806 One of the main advantages of the decomposition into inner and outer flows presented in
 807 this paper is that the wake force and moment coefficients predicted from the outer flow
 808 depend on only two variables, Re and k , substantially reducing the parameter space to be

Forces and moments on a rolling cylinder

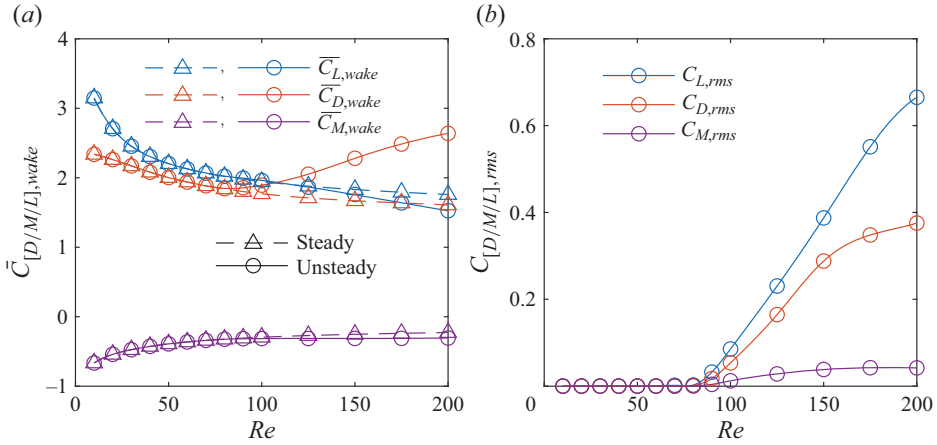


Figure 16. Variation of (a) mean and (b) r.m.s. wake force and moment coefficients against Re for $k = 1$. Circles and triangles indicate the predictions for unsteady and steady flow, respectively.

809 explored by numerical simulations. In this subsection, we present numerical computations
 810 of the mean and r.m.s. wake force and moment coefficients as functions of Re and k . We
 811 remark that the predicted values of $\bar{C}_{L,wake}$ presented in this subsection represent the upper
 812 bounds on the lift coefficient, and have an error of order $\sqrt{G/d}$.

813 We first consider the effect of Re on the wake force and moment coefficients for $k = 1$.
 814 Figure 16(a) presents the variation of $\bar{C}_{D,wake}$, $\bar{C}_{L,wake}$ and $\bar{C}_{M,wake}$ against Re , for $k = 1$
 815 and for both unsteady (circles) and steady (triangles) two-dimensional flow. For steady
 816 flow, the magnitudes of the mean wake drag, lift and moment coefficients all decrease
 817 monotonically with increasing Re . For $k = 1$, the two-dimensional wake becomes unsteady
 818 for $Re > 88$ (Houdroge *et al.* 2017). However, there is little difference in the values of
 819 $\bar{C}_{L,wake}$ and $\bar{C}_{M,wake}$ between the steady and unsteady flows above this critical Reynolds
 820 number. The transition to unsteady flow is associated with a significant increase in the
 821 mean wake drag coefficient ($\bar{C}_{D,wake}$), compared to the steady flow. This is in agreement
 822 with Houdroge *et al.* (2017), who find that two-dimensional vortex shedding results in an
 823 increase in drag coefficient compared to steady flow, with only small changes to the lift
 824 coefficient.

825 Figure 16(b) presents the variation of the r.m.s. force and moment coefficients $C_{D,rms}$,
 826 $C_{L,rms}$ and $C_{M,rms}$ against Re for $k = 1$. Below the critical Reynolds number $Re_{c,2D} = 88$,
 827 the r.m.s. force and moment coefficients are zero, indicating steady flow. As Re is increased
 828 beyond this critical value, the r.m.s. force and moment coefficients increase monotonically.

829 Figure 17 presents a comparison between the predicted mean drag and lift coefficients
 830 at $G/d = 0.005$ and $k = 1$ using the wake drag approach (4.32a–c) and with numerical
 831 results given by Houdroge *et al.* (2017). Good agreement is observed between the predicted
 832 mean drag coefficients, while our method slightly overestimates the lift coefficient, which
 833 is expected given that the error in the lift coefficient is of order $\sqrt{G/d}$.

834 We now consider the effect of varying rotation rate (k) for a fixed Reynolds number
 835 $Re = 100$. Figure 18(a) presents the variation of $\bar{C}_{D,wake}$, $\bar{C}_{L,wake}$ and $\bar{C}_{M,wake}$ against
 836 Re for $k = 1$ for both unsteady (circles) and steady (triangles) two-dimensional flow. The
 837 magnitudes of both $\bar{C}_{D,wake}$ and $\bar{C}_{M,wake}$ increase monotonically with k , while $\bar{C}_{L,wake}$
 838 takes a minimum value between $k = 0.5$ and $k = 0.75$.

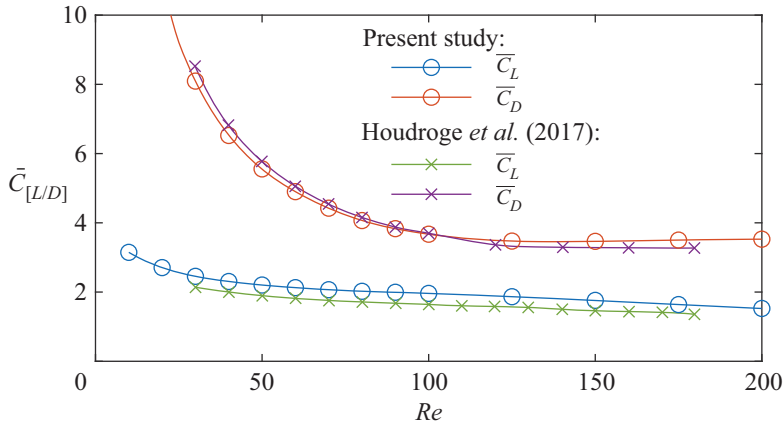


Figure 17. Comparison between the predicted mean drag and lift coefficients for unsteady flow at $k = 1$ and $G/d = 0.005$ using the present method (\circ) and Houdroge *et al.* (2017) (\times).

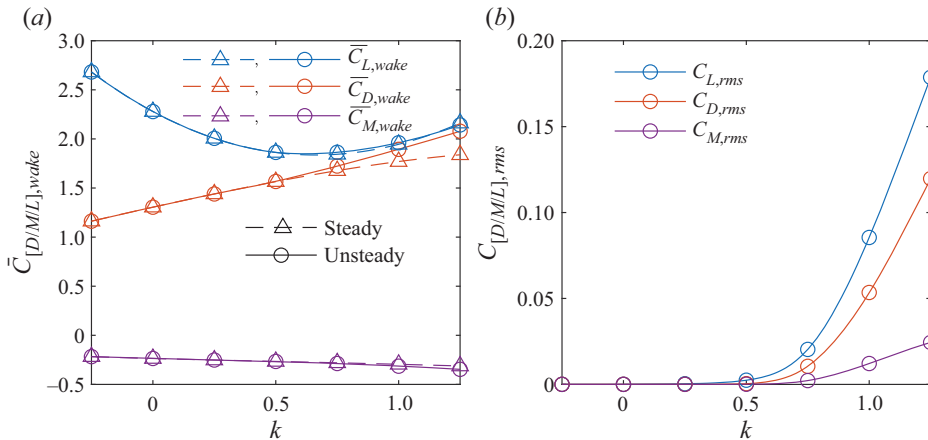


Figure 18. Variation of (a) mean and (b) r.m.s. wake force and moment coefficients against k for $Re = 100$. Circles and triangles indicate the predictions for unsteady and steady flow, respectively.

839 **Figure 18(b)** presents the variation of the r.m.s. force and moment coefficients against
 840 k for $Re = 100$. At this Reynolds number, the transition between steady and unsteady
 841 flow occurs between $k = 0.25$ and $k = 0.5$, and the r.m.s. force and moment coefficients
 842 increase monotonically with k beyond the transition to unsteady flow. This suggests that
 843 the critical Reynolds number for transition to unsteady flow decreases with increasing
 844 k , in agreement with Stewart *et al.* (2010b). **Figure 18(a)** shows little difference in the
 845 predicted mean lift and moment coefficients between steady and unsteady flow; however,
 846 the transition to unsteady flow is associated with an increase in the mean drag coefficient.

847 Finally, we consider the effects of varying both Re and k for two-dimensional, unsteady
 848 flow. **Figure 19** presents contours of $\bar{C}_{D,wake}$, $\bar{C}_{L,wake}$, $\bar{C}_{M,wake}$, $C_{D,rms}$, $C_{L,rms}$ and $C_{M,rms}$
 849 against both Re and k , for two-dimensional unsteady flow. The solid black line marks the
 850 approximate transition from steady to unsteady flow, which is estimated using the r.m.s.
 851 lift coefficient. The critical Reynolds number $Re_{c,2D}$ decreases with increasing rotation
 852 rate, in agreement with Stewart *et al.* (2010b). Within the unsteady regime, the r.m.s. force
 853 and moment coefficients (**figures 19d–f**) increase with both k and Re .

Forces and moments on a rolling cylinder

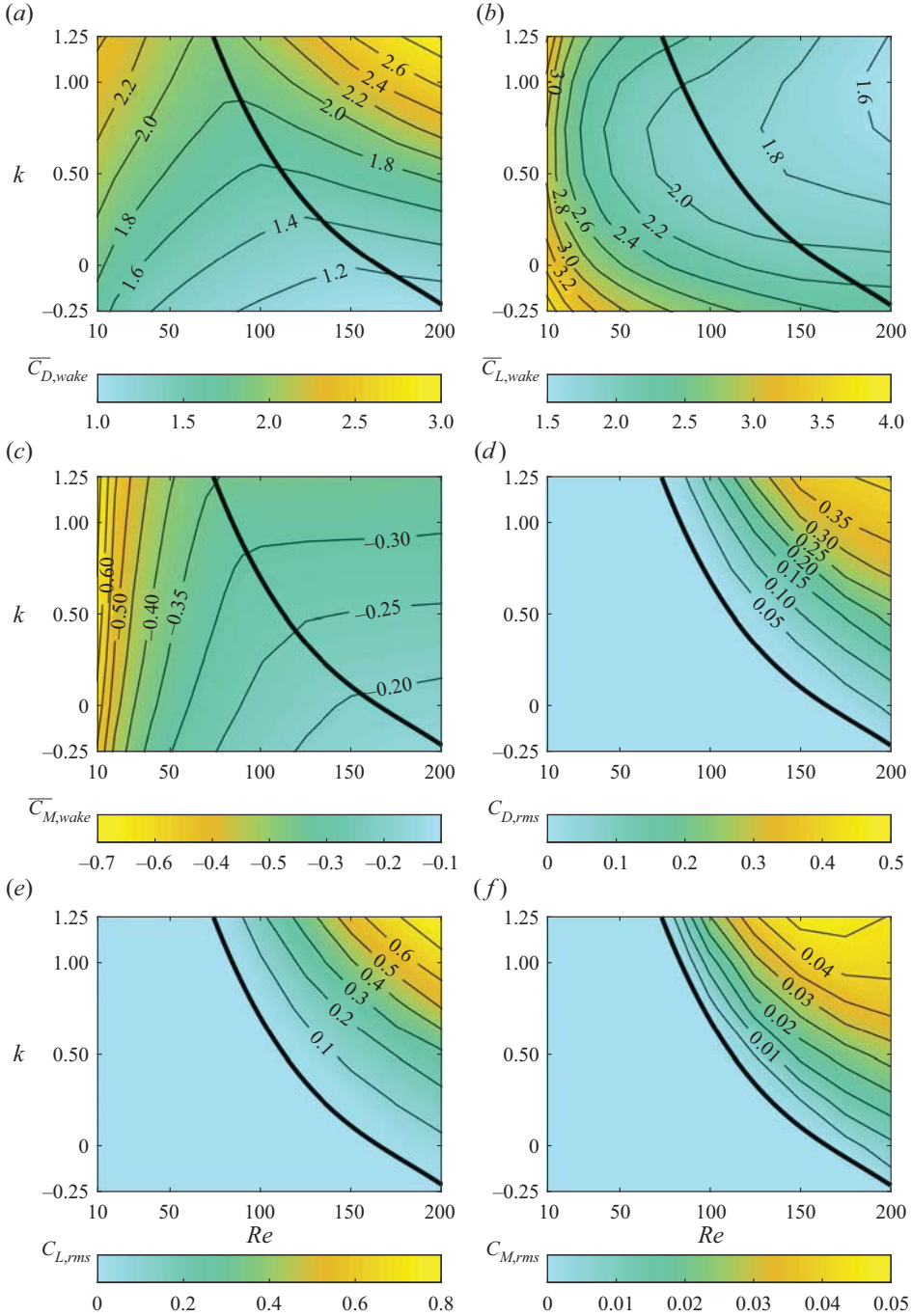


Figure 19. Contours of the mean and r.m.s. wake force/moment coefficients (a) $\bar{C}_{D,wake}$, (b) $\bar{C}_{L,wake}$, (c) $\bar{C}_{M,wake}$, (d) $C_{D,rms}$, (e) $C_{L,rms}$ and (f) $C_{M,rms}$, against k and Re . The thick black line delineates between steady and unsteady two-dimensional flows.

854 Within the steady regime, $\overline{C}_{D,wake}$ increases with increasing k , but decreases with
855 increasing Re (figure 19a). In the unsteady regime, however, $\overline{C}_{D,wake}$ increases with
856 both increasing k and increasing Re . The wake moment coefficient $\overline{C}_{M,wake}$ depends
857 predominantly on Re within the steady regime, but is relatively insensitive to Re in the
858 unsteady regime (figure 19c). In particular, $\overline{C}_{M,wake}$ decreases with increasing Re in the
859 steady regime, and increases with increasing k in the unsteady regime. Finally, $\overline{C}_{L,wake}$
860 decreases with increasing Re in both the steady and unsteady regimes (figure 19b). For
861 a fixed Reynolds number, $\overline{C}_{L,wake}$ takes a minimum value for an intermediate value of k
862 between approximately $k = 0.5$ and $k = 0.75$; however, there is insufficient resolution in
863 the k -direction to determine accurately the precise value of k that minimises $\overline{C}_{L,wake}$.

864 5. Conclusions

865 We have analysed and interpreted the two-dimensional flow over a circular cylinder
866 translating along a plane wall, and with varying degrees of slip, including no-slip, using
867 the method of matched asymptotic expansions. We consider an inner lubrication flow,
868 which is valid near the thin interstice between the cylinder and the wall, and an inertial
869 outer flow, which is valid far from the interstice. While three dimensionless parameters –
870 Re , k and G/d – are needed to characterise this flow, the outer flow is independent of G/d ,
871 and depends only on Re and k .

872 Numerical simulations of the outer flow were performed over a range of Re and k . To
873 avoid the numerical difficulties associated with infinite pressures arising at the contact
874 point, the contact point itself was removed from the computational domain. The velocity
875 corresponding to the Stokes flow solution was used as a prescribed-velocity boundary
876 condition near the contact point. To complete this model, the pressure and velocity
877 distributions in the inner flow were then obtained as an analytic solution to the Reynolds
878 equation.

879 The effects of inertia on the force and moment coefficients are characterised by the wake
880 force and moment coefficients, which can be estimated directly from the outer solution as
881 functions of Re and k . The total force and moment coefficients can then be determined
882 by adding to these the corresponding force and moment coefficients for Stokes flow. We
883 find that the wake drag and moment coefficients are relatively independent of G/d , and
884 therefore can be determined to a high accuracy using the outer solution alone. The wake
885 lift coefficient, however, decreases linearly with $\sqrt{G/d}$, and the outer solution provides
886 only the maximum limiting value of the wake coefficient.

887 One of the main benefits of the decomposition into inner and outer flows is a reduction
888 in the parameter space to be explored by numerical simulations. In particular, the gap
889 ratio effects are completely contained in the analytic Stokes flow terms, and numerical
890 simulations for the outer flow depend only on Re and k . To obtain a complete dynamical
891 model for the motion of a rolling body, we require the force and moment coefficients as
892 functions of k , Re and G/d . The present method substantially reduces the computational
893 effort required to construct such a model.

894 Additionally, numerical simulations become increasingly impractical as G/d is
895 decreased, due to the small cell sizes required to resolve the interstitial flow, as well as
896 the large pressure magnitudes that occur in the interstice. Since the inner lubrication flow
897 is obtained analytically, rather than numerically, these issues are avoided when using the
898 method proposed in this paper.

899 Moreover, many physical effects, including cavitation, compressibility and surface
900 roughness, are likely to be significant only in the inner region. The present work separates

901 these effects conceptually from those of inertia, which affects only the outer region.
902 Therefore, the method presented in this work can be extended readily to rough cylinders,
903 as well as cavitating and compressible flows, by using a modified Reynolds equation that
904 accounts for these effects in the inner region.

905 Finally, we remark that the method presented in this work can be extended to flows over
906 other rolling bodies. For example, the forces and moments applied to a rolling sphere in
907 a Stokes flow are also obtained by a decomposition into inner and outer flows (Goldman
908 *et al.* 1967; O'Neill & Stewartson 1967), and we anticipate that the present approach can
909 be used to obtain the wake force and moment coefficients for a rolling sphere in an inertial
910 flow as functions of only Re and k . This approach may also be useful for understanding a
911 range of other rolling bodies, including finite cylinders (wheels), or asymmetrically shaped
912 particles. These possibilities will be explored in future research.

913 **Supplementary movies.** A supplementary movie is available at <https://doi.org/10.1017/jfm.2023.296>.

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923 **Appendix A. Computing the inertial part of the outer flow solution**

924 Computing the wake force and moment coefficients requires subtracting the Stokes flow
925 solutions from the numerically obtained outer-flow solution. Since the pressure and wall
926 shear stresses for the outer flow approach infinity as $\theta \rightarrow 0$, this requires taking the
927 difference of two large, and nearly equal, numbers, when θ is small. This amplifies
928 numerical errors near the contact point, making the wake force and moment computations
929 unreliable when θ_0 is small.

930 To illustrate this point, [figure 20\(a\)](#) plots the mean pressure obtained numerically using
931 the zero-gap approach outlined in § 3.3, for $k = 1$ and $Re = 100$. Four different meshes are
932 used, with values of Δx between 10^{-5} and 5×10^{-4} , and all other parameters are similar
933 to mesh 2 from [table 3](#). The pressure distribution for Stokes flow (2.10) is also shown. The
934 pressures obtained on each mesh are nearly identical to the Stokes flow pressure when θ is
935 small, and both profiles approach infinity as θ approaches zero. Therefore, computing the
936 pressure difference ($\bar{p} - p_{Stokes}$) near $\theta = 0$ requires taking the difference of two large, but
937 nearly equal, numbers.

938 [Figure 20\(b\)](#) plots profiles of the pressure difference ($\bar{p} - p_{Stokes}$) against θ . While the
939 total pressure \bar{p} is grid-independent ([figure 20a](#)), the computed pressure difference shows
940 a clear grid dependency, as well as large oscillations, when θ is small, presumably due to
941 numerical errors arising from subtracting large numbers. The numerical oscillations are
942 reduced as Δx is decreased, and there appears to be a clear trend in convergence towards
943 a grid-independent solution as Δx is decreased. Therefore, a fine mesh with $\Delta x = 10^{-5}$
944 was used in the present study.

945 We now consider the force and moment coefficients. [Figure 21](#) plots profiles of
946 $\Delta \bar{C}_{D,O}$ (defined in (4.26a-c)) against θ_0 , computed on each of the four numerical grids.

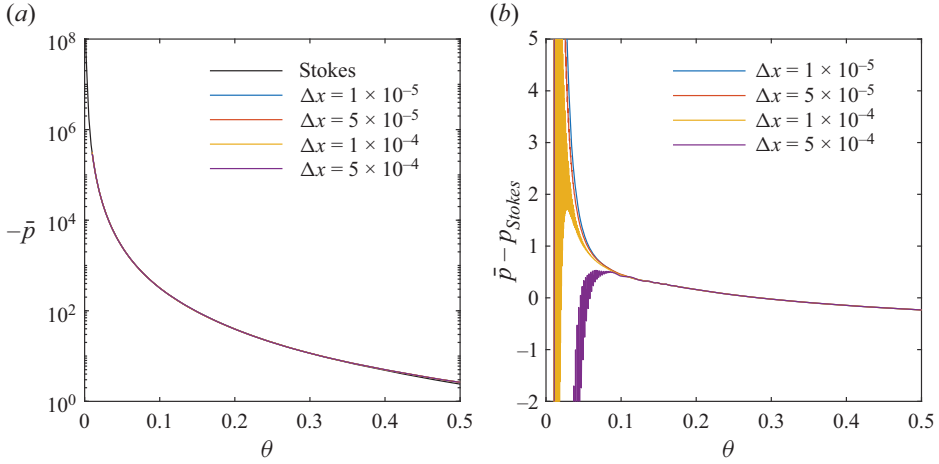


Figure 20. (a) Profiles of the mean pressure \bar{p} near the contact point, and (b) the difference between the mean pressure for inertial and Stokes flow solutions ($\bar{p} - p_{Stokes}$), at $Re = 100$ and $k = 1$. Four different meshes are used, with Δx between 10^{-5} and 5×10^{-4} .

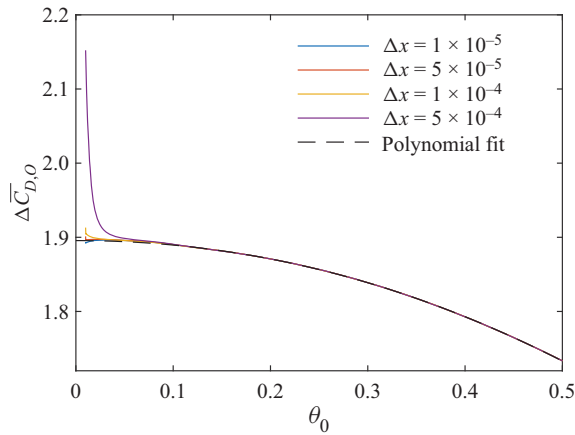


Figure 21. Profiles of the inertial part of the outer-flow contribution to the drag coefficient ($\Delta \bar{C}_{D,O}$) against θ_0 , at $Re = 100$ and $k = 1$, computed using four different meshes with Δx between 10^{-5} and 5×10^{-4} . The dashed line indicates the polynomial fit obtained for the $\Delta x = 10^{-5}$ solution.

947 Numerical errors associated with taking the difference of large numbers are significant
 948 when $\theta_0 < 0.1$. These errors are most noticeable when $\Delta x = 5 \times 10^{-4}$, but visible
 949 numerical artefacts are still observed for the finer grids. We find similar errors for the
 950 other force and moment coefficients $\Delta \bar{C}_{L,O}$ and $\Delta \bar{C}_{M,O}$ (not shown for brevity).

951 Therefore, we consider the computed profiles of $\Delta \bar{C}_{D,O}$, $\Delta \bar{C}_{L,O}$ and $\Delta \bar{C}_{M,O}$ to be
 952 unreliable when $\theta_0 < 0.1$. To estimate the wake force and moment coefficients, we propose
 953 fitting a fourth-order polynomial to these terms over the interval $0.1 < \theta_0 < 0.5$, and using
 954 this polynomial fit to estimate the wake force and moment coefficients, as described in
 955 §4.2. The polynomial fit for $\Delta \bar{C}_{D,O}$ obtained using the $\Delta x = 10^{-5}$ solution is indicated
 956 by a dashed line in figure 21, and appears to be a good approximation for the ‘expected’
 957 behaviour of $\Delta \bar{C}_{D,O}$ over the interval $0 < \theta_0 < 0.1$. This polynomial approximation is

Forces and moments on a rolling cylinder

θ_c	Δx	$\bar{C}_{D,wake}$	$\bar{C}_{L,wake}$	$\bar{C}_{M,wake}$
0.01	1×10^{-5}	1.895114	1.956262	-0.314521
0.01	5×10^{-5}	1.895112	1.956262	-0.314521
0.01	1×10^{-5}	1.895115	1.956262	-0.314521
0.01	5×10^{-4}	1.895116	1.956264	-0.314520
0.1	5×10^{-5}	1.900179	1.957517	-0.314208

Table 5. Comparison of the predicted mean and r.m.s. wake force and moment coefficients for $Re = 100$ and $k = 1$, evaluated using different grid resolutions. The relative differences between the predictions from meshes 2 and 3, and meshes 2 and 4, are given in parentheses.

further justified by the agreement in the predicted wake force and moment coefficients compared to the single-domain, finite-gap simulations presented in table 4 (see § 4.3).

Table 5 shows the predicted wake force and moment coefficients obtained on each of the four meshes, using the polynomial approximation. Variation in the predicted force and moment coefficients is negligible, since the polynomial fit is performed over the domain $0.1 < \theta_0 < 0.5$, where the profiles are grid-independent. Therefore, while $\Delta x = 10^{-5}$ was taken in this study, to minimise the numerical errors for small θ , the wake force and moment coefficients may be determined accurately using a lower resolution ($\Delta x = 5 \times 10^{-4}$), so long as the solution for $\theta_0 < 0.1$ is disregarded when computing the wake force and moment coefficients.

Since the region $\theta < 0.1$ is not used for computing the wake force and moment coefficients, an additional simulation was performed with $\theta_c = 0.1$ and $\Delta x = 10^{-5}$. The mean wake force and moment coefficients obtained using this mesh are presented in table 5, and changes to the predicted force and moment coefficients are below 0.3 % when compared to the $\theta_c = 0.01$ meshes. Using a larger θ_c may offer improved computational efficiency, which would be particularly valuable when considering three-dimensional problems.

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